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HUGHES

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In this investigation the Hughes Aircraft Company studied the application of the geometric moments of an image and rotationally invariant functions of these moments to the automatic recognition of radar images of aircraft targets generated using the ISAR technique.			

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FINAL REPORT FOR TARGET IDENTIFICATION USING RADAR IMAGERY AND MOMENT METHODS

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By George A. Ioannidis

June 1980

Advanced Programs Division

RADAR SYSTEMS GROUP

Hughes Aircraft Company • El Segundo, California

FOREWORD

This report describes an investigation conducted by the Hughes Aircraft Company, Radar Systems Group, El Segundo, California, to test the use of the geometric moments of an image and of the rotationally invariant functions of these moments for the automatic recognition of aircraft targets from radar images. The effort consisted of radar image generation from real data and analysis and computer studies of statistical pattern recognition techniques for the automatic recognition of targets using the geometric moments of radar images.

The investigation was performed by the Signal Exploitation Programs Office of Hughes' Radar Systems Group, for the Office of Naval Research, Arlington, Virginia, under Contract N00014-79-C-0643.

Dr. George A. Ioannidis served as the Hughes program manager. Commander Stacy Holmes followed by Commander Roger Nichols were the program managers for the Office of Naval Research, Arlington, Virginia.

The analytical tasks for this study were carried out by the program manager with help from Jon P. Belleville, who also contributed to the development of the software and wrote Appendix A.

The major contributor to the development of the software for this study was Charles P. Dolan who also wrote Appendix B.

This final report is submitted in accordance with the data requirements of Exhibit A, dated 20 August 1979, Sequence $A\emptyset\emptyset2$ of the contract Data Requirements List 1423.

The author acknowledges the support and contributions of Messrs. James Crosby, Manager, and Calvin Boerman, Assistant Manager of Signal Exploitation Programs at Hughes. The author also thanks Dr. Chung-Ching Chen who provided the images of the F-111.

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SECTION I INTRODUCTION AND SUMMARY

In the past, most radar target recognition techniques have concentrated on the use of one-dimensional target signatures. However, the development of the Synthetic Aperture Radar (SAR) and the recent development of the Inverse Synthetic Aperture (ISAR) technologies have made it possible to obtain radar images of targets and thus allow the use of two-dimensional pattern classification schemes for automatic radar target recognition. One such two-dimensional shape recognition scheme is a technique known as the method of moments, which has been successfully applied to the recognition of objects imaged in the visible and infrared spectrum. Recently under an Office of Naval Research (ONR) sponsored investigation Gonzalez and Moret [8] applied the method of moments to the classification of simulated radar images. Their results showed that very good classification performance could be obtained using this technique.

In this investigation sponsored by ONR the Hughes Aircraft Company studied the application of the geometric moments of an image and rotationally invariant functions of these moments to the automatic recognition of aircraft targets from their radar images. The images used in this investigation were generated by the application of the ISAR technique to turntable radar data and also data obtained from flying aircraft targets. The turntable data had been obtained at the RATSCAT facility under the AFAL E-3A Non-Cooperative Data Collection program and consist of X-band measurements against 1.3 scale models of an F-102, an F-5E, an A-10. an F-15 and a YF-10. The data were collected using the stepped frequency technique using a total of 250 3.4 MHz frequency steps to synthesize an 870.4 MHz bandwidth. For the full scale targets these measurements correspond to S-band data at 25. MHz bandwidth. The targets were placed on a rotary platform which rotated at 0.2 degree increments between adjacent bursts of the 250 frequency steps. In addition to the RATSCAT data, measurements for a maneuvering F-111 aircraft and a DC-10 during take off were also used. The data on the F-111 were obtained using the ALCOR radar which uses a linear F-M pulse with 500 MHz bandwidth. The data on the DC-10 were obtained by an S-band

radar operated by the Naval Ocean Systems Center (NOSC) in San Diego. By stepping the transmitter frequency pulse to pulse at 1.08 MHz increments over a total of 250 pulses this radar has an effective bandwidth of 276 MHz. The images of the F-111 were available from the work of Chen and Andrews [14]. Images for all these aircraft targets were generated using the techniques described in Section 2.

Using the above data a set of 540 images was formed from the RATSCAT data base (108 images per target for an F-102, an F-15, an YF-10, an F-5E, and an A-10). The first 72 images for each target were obtained using the lower 128 frequencies covering a bandwidth of 128 MHz out of a total of 250 MHz measurement bandwidth. The last 30 images out of the total of 108 images per target were obtained using the upper 128 frequencies. The resulting range resolution was 1.17 meters. The images were formed for a 3-degree rotation of the target which results in a cross range resolution of 0.95 meters. The images were formed over the following set of aspect angles 0-3 degrees, 5-8 degrees, . . . , 175-178 degrees and 2-5 degrees, 7-10 degrees, 12-15 degrees, . . . , 177-180 degrees for the first set of 128 frequencies and for aspects 0-3 degrees, 5-8 degrees, . . . , 175-178 degrees for the second set of 128 frequencies.

The images of the F-111 obtained using the ALCOR radar ranged over the following aspect angles: 0-3 degrees, 5-8 degrees, . . . , 85-87 degrees and 2-5 degrees, 7-10 degrees, . . . , 87-90 degrees. All of the above images corresponded to top views of the targets, i.e., projections on the horizontal plane. From the NOSC data set a small number of images, 10 top views, and 10 side views were obtained for a DC-10. However, because the target in this case was moving it was necessary to use the full 250 MHz measurement bandwidth to properly focus and align the range signatures used in forming the image. For classification tests only the ten side views obtained on this target were used. These images were combined with a set of ten side view images obtained using RATSCAT measurements for the F-3E and A-10. In addition to the above classification tests the classifiers were itso presented with top view images of the RATSCAT targets obtained using the full 250 MHz bandwidth and a 0.2 degree rotation of the target. For these tests 24 images per target for the five RATSCAT targets were

formed over the following set of aspects: 0.8-7 degrees, 3.8-10 degrees, 6.8-13 degrees, ..., 33.8-40 degrees and 140.8-147 degrees, 143.8-150 degrees, ..., 173.8-180 degrees. The images used in this study are given in Table 1.

In addition to the generation of the above images, software was developed for image processing such as background noise computation, adaptive threshold adjustment, edge enhancement and edge detection. Software was also developed for the computation of all central moments of orders two to seven and of all orthogonal moment invariant functions using moments of orders two to seven. As described in Section III, the total number of required moments is 33 and is also equal to the total number of invariants. Also three general algebraic invariants were computed as described in Section III.

TABLE 1. RADAR IMAGES OF AIRCRAFT TARGETS GENERATED FOR THIS STUDY

Data	View	Aspects	Frequency Steps		
RATSCAT	Plan	0-3°, 5-8°, , 175-178°	0 to 128		
RATSCAT	Plan	$2-5^{\circ}$. $7-10^{\circ}$, $177-180^{\circ}$	0 to 128		
RATSCAT	Plan	$0-3^{\circ}$, $5-8^{\circ}$,, $175-178^{\circ}$	124 to 250		
RATSCAT	Plan	$0.8-7^{\circ}$. $3.8-10^{\circ}$, $6.8-13^{\circ}$ $35.8-40^{\circ}$	0 to 250		
RATSCAT	Plan	$140.8 - 147^{\circ}$, $143.8 - 150^{\circ}$,, $173.8 - 180^{\circ}$	0 to 250		
A-10, F-5r2	Profiles	-18.2° to 12° , -15.2° to -9° ,, 12° to 18.2°	0 to 250		
ALCOR (F-111)	Plan	$0-3^{\circ}$, $2-5^{\circ}$, $5-8^{\circ}$,, $87-90^{\circ}$	1.2 m resolution		
NOSC (DC-10)	Profiles	10 nose aspects	0 to 250		
NCSC (DC-10)	Plan	10 broadside aspects	0 to 256		
(RATSCAT fargets: F-102, F-5E, F-15, YF-10, A-10.)					

Moments and invariants were computed from both the image and the edge detected image (contour image) and classification tests were performed using feature vectors constructed from the moments and feature vectors constructed from the invariants. In addition to feature vectors computed from the geometric moments and invariants features obtained by collapsing the image intensities along two orthogonal axes (parallel and normal to the fuselage orientation) as described in Section IV were tested.

As seen in the results from the classification tests presented in Sections VI and VII the best performance (84 to 97 percent correct) was observed for feature vectors using the central moments of the image and also for combinations of moments from the image and the edge detected image. The results are encouraging and suggest that the geometric moments may prove to be important features for the application of statistical pattern recognition techniques to the identification of aircraft targets from radar images. However, because a limited set of imaging geometries tonly plan views corresponding to projections on the horizontal plane for zero roll and profiles corresponding to projections on the vertical plane) was used in this study the performance of the techniques as a function of roll and pitch angles was not investigated. Further more it was assumed that the imaging plane was known. The determination of the target view presented by the image is an important problem that will be addressed in follow-on work in this area.

In addition to the above classification results obtained during this study a technique was also developed which uses invariant moment functions for the computation of the cross range scale in ISAR images of targets whose rotation rate is not known a priori.

Section II contains a discussion of the ISAR imaging and image processing algorithms used in this study. Section III is a discussion of the geometric moments and invariant functions of these moments and their use in the computation of the target aspect and the computation of the cross range scale factor of ISAR images. A recognition scheme based on image collapsing along two orthogonal axes is discussed in Section IV and Section V contains a description of the classifiers used in this investigation as well as two techniques used for reducing the dimensionality of the feature vectors. The classification results are contained in Section VI and the conclusions are given in Section VII. Details

on the computation of the invariant functions and a brief discussion of the software used in this study are found in Appendices A and B, respectively.

Section II INVERSE SAR IMAGING BY FREQUENCY STEPPING

The most common radar imaging technique today is the SAR method used primarily for ground mapping by airborne radars. The method employs high range resolution waveforms and takes advantage of the linear translational motion of the airborne antenna to generate a synthetic long array with an effective azimuth beamwidth several times smaller than the beamwidth of the radar antenna. Thus radar images of the ground and of ground targets are generated.

When the radar target is moving (thus causing a change in target aspect) a similar technique called ISAR can be used to image targets in motion. The method takes advantage of the relative doppler shift of the signals returned from the various scatters on the target (as a result of the target's rotational motion) to obtain the scatterers cross range locations. Either a single wideband pulse waveform or a burst of N narrow band pulses stepped in frequency pulse to pulse over a large bandwidth can be used to obtain the range profile of the target. For the stepped frequency waveform, a synthetic high resolution range profile is obtained by Fourier transforming the target echoes per frequency burst. The time history of the range profiles for a target with a changing aspect contains doppler frequencies that are directly related to the target scatterers cross range locations. Thus the cross range dimension at each range cell of a rotating target is obtained by Fourier transforming the echo history of the range cell. In this way, range and cross range data are mapped into a target image.

2.1 RANGE-DOPPLER IMAGING OF TARGETS ON A ROTARY PLATFORM

Radar imaging of targets on a rotary platform with a stepped trequency radar system is briefly described here. The processing algorithms for the formation of a radar image of the target are derived by representing the target by a collection of many point scatterers as described by

Chen and Andrews (1), Walker (2) and Wehner (3). Even though the analysis presented here follows this point scatterer model, the resulting imaging algorithms are also valid for targets that consist of one large continuous surface with dimensions much larger than the radar wavelength, as it follows from the work of R.M. Lewis (4) who by the use of physical optics formulas, found the same imaging algorithms as those obtained by the point scatterer model.

For the geometry of Figure 1, assume that the target consists of a large number of point scatterers. After coherent demodulation the received radar echo as a function of transmitter frequency f (wave number $k=2\pi f/c$) and target aspect angle θ is given by

$$U(f,\theta) = \frac{E_0 A_e}{2\sqrt{\pi} \lambda R_0^2} e^{j2k \cdot R_0} \int_{S_1} \rho(\vec{r};\theta) e^{j2k \cdot \vec{r}} ds(\vec{r})$$
(1)

where $\rho(\mathbf{r};\theta)$ is the complex target reflectivity at point \mathbf{r} on the target surface when the aspect angle is θ , k is a vector pointing from the radar towards the center of the target and its magnitude is equal to the radar wave number given by

$$||\mathbf{k}|| = \frac{2\pi}{\lambda} = \frac{2\pi f}{c} , \qquad (2)$$

 E_o is the amplitude of the transmitted pulse, A_e is the effective antenna aperture of the radar, R_o is the target range, and the surface integral is over the illuminated target surface S_1 . Expressing the vectors k and r of Equation (1) in terms of the body coordinates X, Y (see Figure 1)

$$2\vec{k} \cdot \vec{r} = xp_x - yp_y \tag{3}$$

where:

$$p_x = (4\pi f/c) \cos \theta$$
, $p_y = (4\pi f/c) \sin \theta$
 $x = r \cos \alpha$ and $y = r \sin \alpha$

Substituting from Equation (3) into (1),

$$U(p_{x}, p_{y}) = \left(\frac{E_{o} A_{e}}{2\sqrt{\pi} \lambda R_{o}^{2}}\right) e^{jp \cdot R_{o}} \int_{S_{1}} \int \rho(x, y; \theta) e^{j(xp_{x} + yp_{y})} dxdy$$
 (4)

which shows that the measured signal is a sample of the two dimensional Fourier transform of the target's reflectivity projected onto the horizontal plane and modulated by the linear phase term

When in the measurement setup of Figure 1 pulse-to-pulse frequency stepping is used over a bandwidth $(f_{max} - f_{min})$, the resulting measurements correspond to samples of $U(p_x, p_y)$ over a region D in (p_x, p_y) space which is a section of a ring between two circles with radii p_1 and p_2 as shown in Figure 2. The corresponding measurement transfer function is

$$H(p_{x}, p_{y}) = 1$$
, $p_{x} = p \cos \theta$, $p_{y} = p \sin \theta$ (5a)

$$p_1 \le p \le p_2$$
, $-\frac{\Delta\theta}{2} \le \theta \le \frac{\Delta\theta}{2}$, $H(p_x, p_y) = 0$, otherwise. (5b)

When $\Delta\theta$ is small and $(p_2 - p_1) \ll 1/2 (p_2 + p_1)$, the Fourier transform of this measurement transfer function is given by

$$\ln(\mathbf{x}, \mathbf{y}) \approx \left(\frac{\mathbf{p}_2^2 - \mathbf{p}_1^2}{4\pi^2}\right) \left(\Delta\theta\right), \quad \frac{\sin(5\mathbf{y}\Delta\theta/2)}{(5\mathbf{y}\Delta\theta/2)} + \frac{\sin(\mathbf{x}\Delta\mathbf{p}/2)}{(8\Delta\mathbf{p}/2)}$$
 (6)

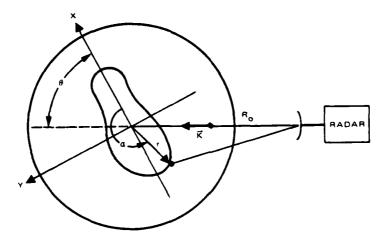


Figure 1. Imaging of target on a turntable.

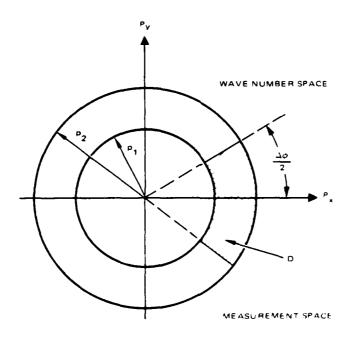


Figure 2. Mapping of measurement region in wave number space, for measurement set up of Figure 1

where X is the range axis and Y is the cross range axis, $\overline{p} = \frac{p_2 + p_1}{2}$ and $\Delta p = p_2 - p_1$. Therefore, the reconstructed reflectivity $\hat{p}(x, y)$ and the actual reflectivity profile p(x, y) are related by the convolution integral

$$\hat{\rho}(\mathbf{x}, \mathbf{y}) = \iint d\mathbf{x}' d\mathbf{y}' h(\mathbf{x} - \mathbf{x}', \mathbf{y} - \mathbf{y}') \rho(\mathbf{x}', \mathbf{y}'). \tag{7}$$

From Equations (6) and (7), the resulting range resolution is

$$\Delta x = \frac{2\pi}{\Delta p} = \frac{2\pi}{\frac{4\pi}{c} (f_{\text{max}} - f_{\text{min}})} = \frac{c}{2 (f_{\text{max}} - f_{\text{min}})}$$
(8)

while the cross range resolution is given by

$$\Delta y = \frac{2\pi}{\overline{p}\Delta\theta} = \frac{\lambda}{2\Delta\theta} \tag{9}$$

where \ is the mean radar wavelength given by

$$\lambda = \frac{2c}{f_{\text{max}} + f_{\text{min}}}$$

From Equations (4) and (5a, b), the reconstructed reflectivity profile, which is the radar target image, is given by

$$\hat{\rho}(x, y) = K_0 \int_{-\frac{\Delta\theta}{2}}^{\frac{\Delta\theta}{2}} d\theta \int_{p_1}^{p_2} pdp U(p, \theta) e^{jxp \cos\theta} e^{jyp \sin\theta} , \quad (10)$$

where K_0 is a constant that depends on range, effective antenna aperture, wavelength, and transmitter power. For small values of $\Delta\theta$, the above integral can be approximated by

$$\hat{\rho}(\mathbf{x}, \mathbf{y}) = K_0 \int_{-\frac{\Delta\theta}{2}}^{\frac{\Delta\theta}{2}} d\theta \int_{-\mathbf{p}_1}^{\mathbf{p}_2} p d\mathbf{p} \, \mathbf{U}(\mathbf{p}, \mathbf{\theta}) \, e^{j\mathbf{p}\mathbf{x}} \, e^{j\mathbf{p}\theta\mathbf{y}} . \tag{11}$$

For numerical computations,

$$p = p_1 + k\Delta p$$
 , $p_2 = p_1 + (N-1)\Delta p$ $\theta = i\delta\theta - \frac{\Delta\theta}{2}$, $\Delta\theta = (M-1)\delta\theta$ $x = n\Delta x$, $y = m\Delta y$

and choose Δx , Δy so that

$$\Delta p \ \Delta x = \frac{2\pi}{N}$$
 , $p_1 \ \delta \theta \Delta y = \frac{2\pi}{M}$.

Using the above,

$$\begin{split} e^{jpx} &= e^{jp_1 n \Delta x} e^{j(2\pi/N)kn} \\ e^{jp\theta y} &= e^{j(2\pi/M)im} e^{j(2\pi/M)(\Delta p/p_1)imk} e^{-jp_1 y \Delta \theta/2} \\ &\simeq e^{j(2\pi/M)im} \left[1 + j \frac{2\pi}{M} \left(\frac{\Delta p}{p_1}\right)imk\right] e^{-jp_1 y \Delta \theta/2} \end{split}$$

Substituting from above in Equation (11) and replacing the integrals by summations, the magnitude of $\hat{\rho}(x, y)$ is given by

$$|\hat{\rho}(n\Delta x, m\Delta y)| \approx K_0 \frac{p_2^2 - p_1^2}{2} \left| \sum_{k=0}^{N-1} \sum_{i=0}^{M-1} U(k, i) e^{j(2\pi/N)kn} e^{j(2\pi/M)im} \right|$$

$$- j \frac{2\pi}{M} \left(\frac{\Delta p}{p_1} \right) m \sum_{k=0}^{N-1} \sum_{i=0}^{M-1} k \cdot i U(k, i) e^{j(2\pi/N)kn} e^{j(2\pi/M)im}$$
(12)

In most radar image applications, only the first summation is used. The second summation which is multiplied by the very small factor

$$\frac{2\pi m}{M} \left(\frac{\Delta p}{p_1} \right)$$

where m is the cross range dimension index (y = m Δ y) is a small correction term that accounts for the error introduced by the approximations used in the polar to rectangular conversion and is usually neglected. The above polar-to-rectangular conversion also imposes a restriction on the maximum size of the angular sector $\Delta\theta$ in the measurement space that can be processed coherently to form a radar image. For large values of $\Delta\theta$, the polar-to-rectangular conversion introduces an error caused by scatterer motion through resolution cells. This problem imposes the following resolution limitations [5] when Equation (12) is used

$$\Delta CR > \left(\frac{L}{4}\right)^{1/2} \tag{13}$$

$$\Delta CR \cdot \Delta R > \left(\frac{\Lambda D}{4}\right)$$
, (14)

where:

ACR = cross range resolution

 ΔR = range resolution

L = target length in range

D = target width in cross range, and

\ = radar wavelength.

This limitation can be avoided by polar format processing using Equation (10) at the expense of a computationally inefficient algorithm.

The stepped frequency technique was used to form images of five aircraft models. The data used for these images were collected at RATSCAT at N-band against 1-3 scale models of an F-102, an F-5E, a YF-15, an F-15 and an A-10. A total of 250-3.4 MHz frequency steps

were used. The targets were placed on a rotary platform that rotated at 0.2 degree increments. To avoid range aliasing the frequency step size must be less than (c/4L) where L is the target length. To avoid cross range aliasing the angular increment must be less than $(\lambda/4D)$, where λ is the radar wavelength and D is the cross range target dimension. In the RAT-SCAT measurements the frequency step was adequate to avoid range aliasing, but the 0.2 degree angular increment was somewhat high for aspect angles near the broadside of the target. By introducing a human observer in many of the cases where cross range aliasing occurred for aspect angles other than head-on-broadside, the images were corrected when the aliased part could be resolved in the range dimension. The five scaled aircraft models were mounted both horizontally and vertically on the rotary platform so that both yaw and pitch angle rotation could be simulated. The measurements obtained when the targets were horizontally mounted on the platform gave plan (top) view images of the targets; examples are shown in Figures 3 through 8. The vertical mounting resulted in profile (side) view images as shown in Figures 9 and 10. For most of the classification tests described below, the top view images of the targets were used. One test, however, was performed with profiles of the F-5E, the A-10 and of a DC-10 during take off; stepped frequency measurements provided by the Naval Ocean Systems Center (NOSC) in San Diego were used. The technique used to image that target is described below.

2.2 RANGE-DOPPLER IMAGING OF MOVING TARGETS

When the target is moving, in addition to the change in target aspect, the range between the radar and the target is also changing. As a result of such range changes during formation of the radar image the images appear to be out of focus. The signal processing technique used to correct for this defocusing effect is known as autofocusing if it relies only on the received radar signal to accomplish this task. Although the autofocusing problem for the stepped frequency waveforms, in principle, is similar to the autofocusing problem for the single wideband pulse waveform, in the stepped frequency case a correction must also be introduced to account for phase errors

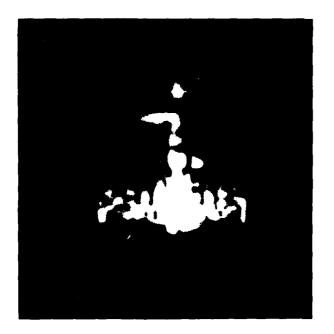
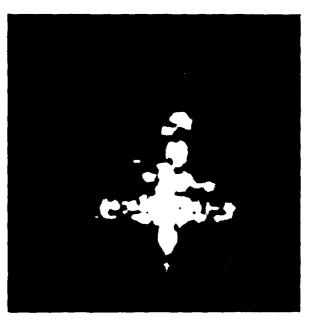


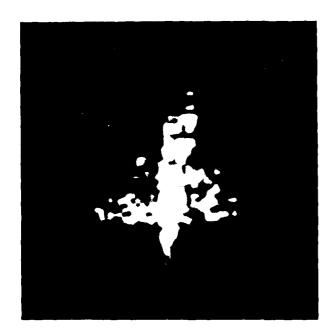


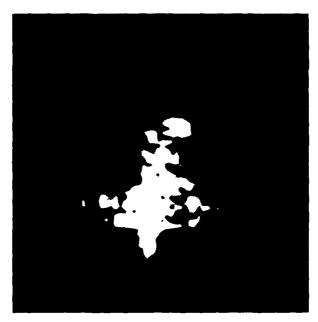


Figure 3 For views of F-102 for tall infects, 0.6m range resolution 0.45m cross range resolution.



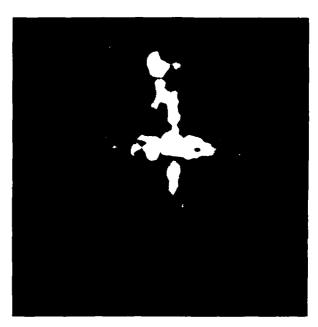






Equiv. 1. Plan views of E.SE for tail aspects.
Often suggeressolutions
of 450 across range resolutions.





F-15

F-15

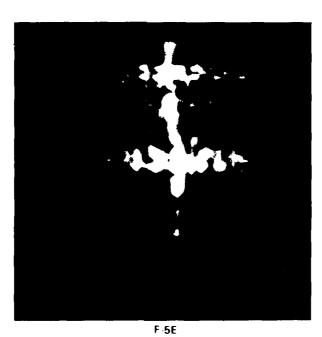
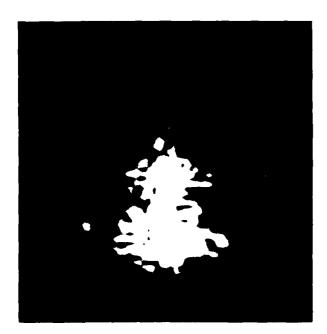


Figure 5. Plan views of F-15 and F-5E mose aspects.

0.6M range resolution

0.45M cross range resolution





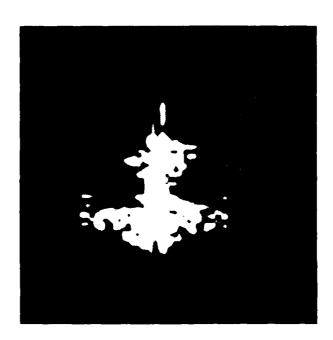


Figure to Plan views of F-16 to suspend Other supplemental of C-15re in a supplemental of

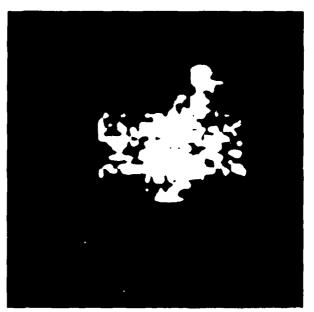






Figure 7: Plan views of YE 16 for the laster to 0 bits range resolution 0.45m cross range to act at a





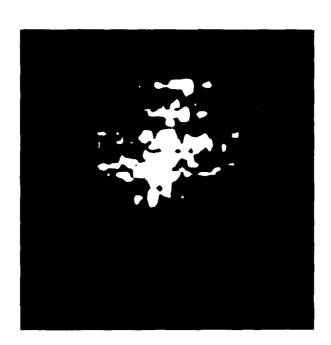


Figure 8: Plan views of A 10 hose aspects
0:6M range resolution
0:45M cross range resolution



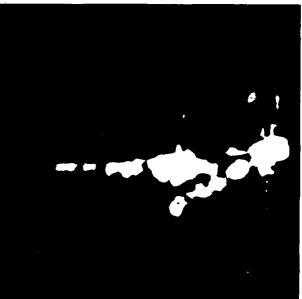
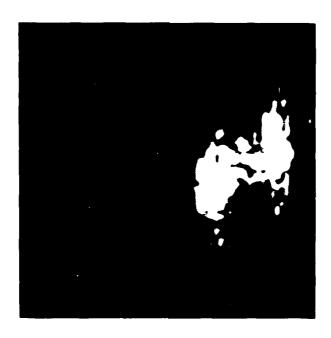




Figure 9 Profiles of F-5E nose aspects 0.6M range resolution 0.45M cross range resolution





Fugure 10. Profiles of A 10 for nose aspects with -0.6M range and 0.45 cross range resolution.

caused by the changing target range during transmission of a single N frequency pulse burst.

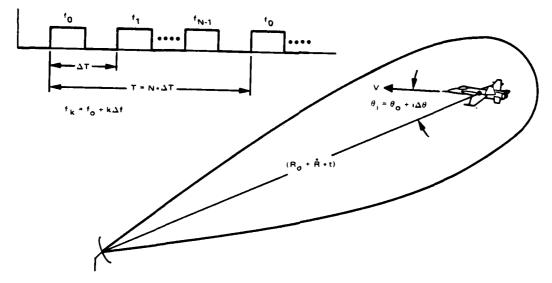
As shown in Figure 11 when the imaging radar employs pulse-to-pulse frequency stepping to synthesize a high range resolution target profile, the received target echo at each frequency can be considered as a frequency sample of the Fourier transform $F(f_k, \theta_i)$ of the target's range response multiplied by quadratic and linear phase error terms introduced by the target range R_o and target radial velocity \hat{R} . As seen in Figure 11, the received signal as a function of frequency f_k and aspect angle θ_i is given by

$$U(f_{k}, \theta_{i}) = F(f_{k}, \theta_{i}) \exp \left[-j\frac{4\pi}{\lambda_{o}}R_{o}\right] \exp \left[-j\frac{4\pi}{\lambda_{o}}(T\dot{R})i\right]$$

$$\exp \left[-j\frac{4\pi}{\lambda_{o}}(R_{o}\frac{\Delta f}{f_{o}} + \dot{R}\Delta T)k\right] \exp \left[-j\frac{4\pi}{\lambda_{o}}\left(\frac{\Delta f}{f_{o}}\right)(\dot{R}T)ik\right]$$

$$\exp \left[-j\frac{4\pi}{\lambda_{o}}\left(\frac{\Delta f}{f_{o}}\right)(\dot{R}\Delta T)k^{2}\right]. \tag{15}$$

where R_0 is the target range at aspect θ_0 when frequency f_0 is transmitted, χ_0 , is the mean radar wavelength, Δf is the frequency step size, ΔT is the pulse repetition interval (PRI) between transmissions at adjacent frequencies, and $T=N+\Delta T$ is the burst time. The effect of the linear phase errors introduced by the first three complex exponentials in Equation (15) is a translation of the resulting radar image in the image plane and does not affect the image quality. But the last two complex exponential terms in Equation (15) are quadratic functions of the indices i and k and have a defocusing and blurring effect on the resulting radar image. Autofocusing algorithms attempt to correct the effect of these two quadratic phase error terms. The autofocusing algorithms used in Figure 12 to correct for the quadratic phase error involving the product (i*k) is known as range alignment while the correction for the quadratic error involving k^2 in Equation (15) is smooth as quadratic phase compensation and is needed before the returns of a single burst can be Fourier transformed to obtain the i-lighter returns of a single burst can be Fourier transformed to obtain the



RECEIVED SIGNAL

$$\begin{split} \cup \ (\mathbf{f}_{\mathbf{k}} \,,\, \boldsymbol{\theta}_{\hat{\mathbf{i}}}) &= \mathbf{F} \,(\, \mathbf{f}_{\mathbf{k}} \,,\, \boldsymbol{\theta}_{\hat{\mathbf{i}}} \,) \cdot \mathsf{EXP} \Big[\cdot \mathbf{j} \, \frac{4\pi}{\lambda_{\mathbf{0}}} \, \mathbf{R}_{\mathbf{0}} \Big] \cdot \mathsf{EXP} \Big[\cdot \mathbf{j} \, \frac{4\pi}{\lambda_{\mathbf{0}}} \, \left(\mathbf{T} \cdot \mathring{\mathbf{R}} \right) \cdot \mathbf{i} \, \Big] \\ &= \mathsf{EXP} \Big[\cdot \mathbf{j} \, \frac{4\pi}{\lambda_{\mathbf{0}}} \, \left(\mathbf{R}_{\mathbf{0}} \, \frac{\Delta f}{f_{\mathbf{0}}} + \mathring{\mathbf{R}} \Delta T \right) \, \mathbf{k} \, \Big] \, \, \mathsf{EXP} \Big[\cdot \mathbf{j} \, \frac{4\pi}{\lambda_{\mathbf{0}}} \, \frac{\Delta f}{f_{\mathbf{0}}} \, \left(\mathbf{T} \cdot \mathring{\mathbf{R}} \right) \cdot \mathbf{k} \Big] \\ &= \mathsf{EXP} \, \Big[\cdot \mathbf{j} \, \frac{4\pi}{\lambda_{\mathbf{0}}} \cdot \frac{\Delta f}{f_{\mathbf{0}}} \, \left(\Delta T \cdot \mathring{\mathbf{R}} \right) \, \mathbf{k}^{\, 2} \, \Big] \end{split}$$

Figure 11. ISAR imaging of target by radar using pulse to pulse frequency stepping.

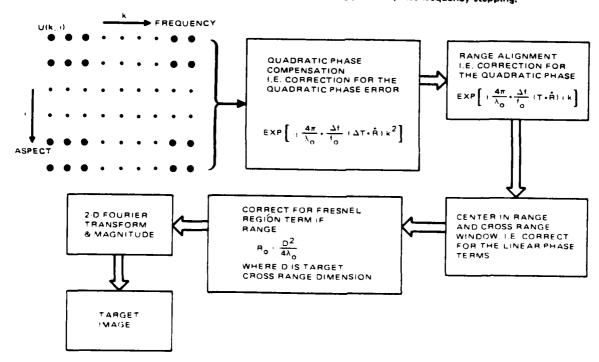


Figure 12. Signal processing functions needed in formation of ISAR images using stepped frequency technique.

range resolution profile. A signal processing block for correction of phase errors introduced by Fresnel region terms is also shown in Figure 12. This correction accounts for a quadratic phase error introduced by the assumption that the radar signals are plane waves rather than spherical waves and is only important at relatively short ranges.

When autofocusing is used for range alignment, it is usually assumed that the target's rotational motion is relatively slow in comparison to the N-pulse burst time, so that two adjacent range signatures are approximately equal. With this assumption, the problem of range alignment can be expressed as an optimization problem, where an unknown linear phase function of the form exp $[-j(2\pi f_k d_i + \phi_i)]$ is sought so that the function

$$J = \sum_{k=0}^{N-1} \left| U(f_k, \theta_i) - U(f_k, \theta_{i+1}) e^{-j(2\pi f_k d_i + \phi_i)} \right|^2$$
 (10)

is minimized.

Then the resulting value of d_i is an estimate of the (range displacement) time delay between the two range profiles at aspect angles θ_i and θ_{i+1} , and ϕ_i is a phase difference between the two profiles. In general depending on the spectral characteristics of the signal, filters $H_1(f)$ and $H_2(f)$ are introduced and the resulting criterion function becomes

$$J = \sum_{k=0}^{N-1} \left| H_1(f_k) U(f_k, \theta_i) - H_2(f_k) U(f_k, \theta_{i+1}) \exp(-j2\pi f_k d_i - j\phi_i) \right|^2 ,$$
(17)

Minimization of Equation (17) with respect to $d_{\hat{i}}$ and $\phi_{\hat{i}}$ gives that the optimum value of the delay $d_{\hat{i}}$ is the value that maximizes

$$Q = \sum_{k=0}^{\lfloor N-1 \rfloor} W(\mathbf{f}_k) U(\mathbf{f}_k, |\boldsymbol{\theta}_i|) U^{\oplus}(\mathbf{f}_k, |\boldsymbol{\theta}_{i+1}|) e^{j2\pi \mathbf{f}_k \cdot \mathbf{d}_i} , \qquad (18)$$

where:

$$W(f_k) = H_1(f_k) H_2^*(f_k)$$
, (19)

is a frequency weighting that depends on the spectral characteristics of the signal and interference. For example when $W(f_k) = 1.0$ then using Parseval's theorem, it can be easily shown that the maximum value of Q is equal to the square of the maximum cross correlation peak between the two range profiles.

Once estimates for the delays d_i 's and phase angles ϕ_i 's are obtained, then assuming that the range rate \dot{R} is approximately constant during a burst period a quadratic phase compensation can be introduced to account for range changes during one range signature time.

The above processing technique was used to generate inverse SAR images of a DC-10 during take off. The data were obtained by an S-band radar operated by NOSC in San Diego, CA. By stepping the transmitted frequency pulse to pulse at 1 MHz increments over a total bandwidth of 256 MHz, this radar obtains a synthetic high range resolution profile every 0.05 second. A total of 64 range profiles are used to form an image. Depending on the apparent target rotation (yaw or pitch) plan (top) or profile (side) view images of the target are obtained. Figure 13 is an example of the plan (top) and of the side view images for the above DC-10 generated using the processing described in Figure 12. Ten side view images of this target, 10 side view images of an F-5E and 10 images of an A-10, were used for classification tests using the method of moments. The results are presented in Section VI.

2.3 IMAGE PREPROCESSING FOR AUTOMATIC TARGET RECOGNITION

Before the radar images generated by the coherent processing of the radar returns as described in Figure 12 are presented to the classifier, an adaptive thresholding scheme is used to remove noise in the images. Furthermore, the edge detection scheme of Figure 14 is used to generate image boundaries. As seen in that figure the background noise level of the image is computed, and a threshold corresponding to 4.4 dB above the



a. DC-10 TOP VIEW



Figure 15: AA DC 10 during takeoff

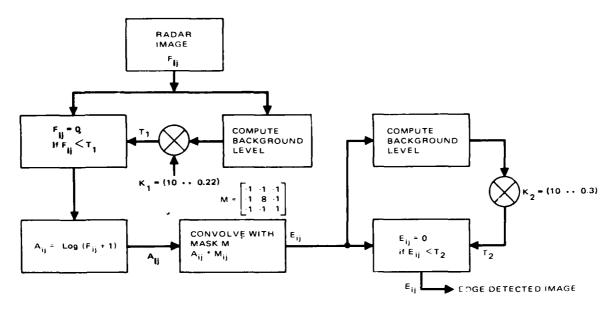
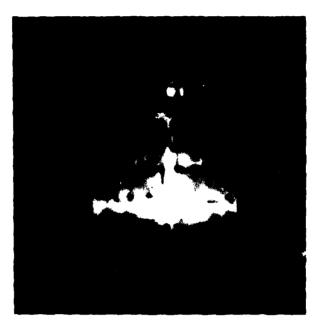


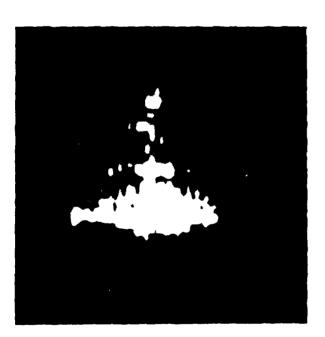
Figure 14. Processing for edge detection.

background noise level is used. Then the resulting image is used to obtain the image edges as shown in Figure 14. As seen the dynamic range the thresholded image intensity is reduced using the transformation $A_{ij} = \log{(F_{ij}+1)}$ where F_{ij} is the original image intensity. The resulting image intensity field A_{ij} is then convolved with an edge detection mask M to generate the field $E_{ij} = M*A_{ij}$. The field E_{ij} is thresholded using an adaptive threshold set at 6 dB above the estimated background noise level in E_{ij} . The computation of the background noise levels used in computing the adaptive thresholds is obtained by averaging all intensities in the observed image fields that are less than one fifth the maximum observed intensity. Examples of radar images before thresholding, after thresholding and after edge detection are shown in Figures 15 through 18.

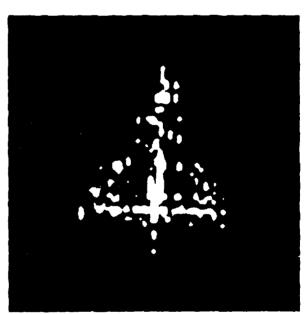
All the aircraft targets used in this study are shown in Figure 19.



a. Original



b. After thresholding



c. Edge detected

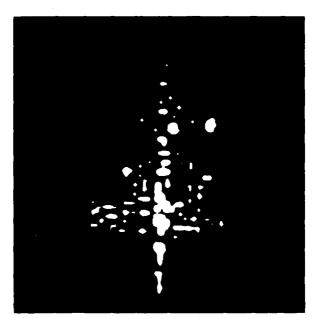
Figure 15. Plan view of F. 102.



a. Original

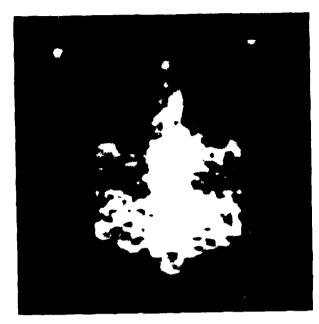


b. After thresholding



c. Edge detected

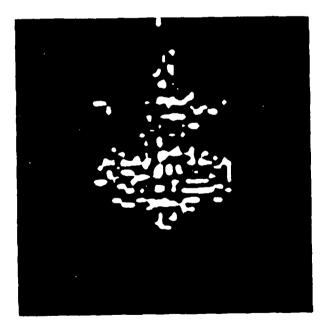
Figure 16 Plan view of F.5E,



a. Original

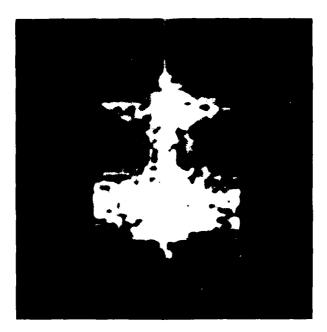


b After thresholding



c. Edge detected

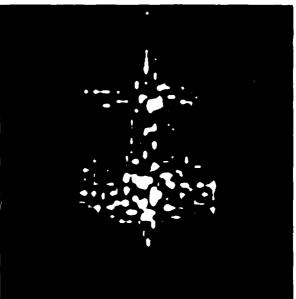
Figure 17 Plan views of Figure



a. Original

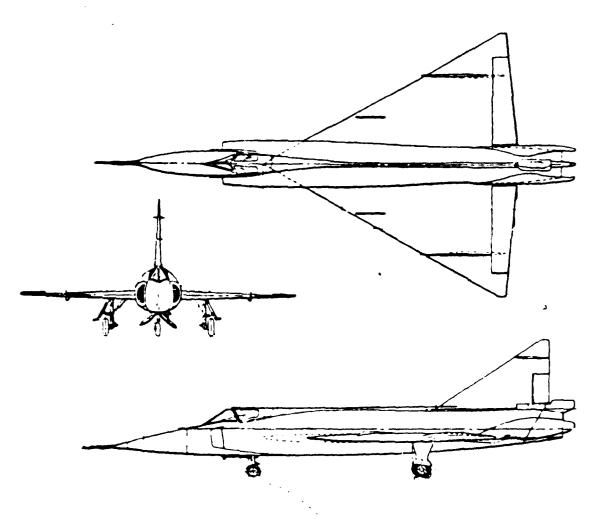


b. After thresholding



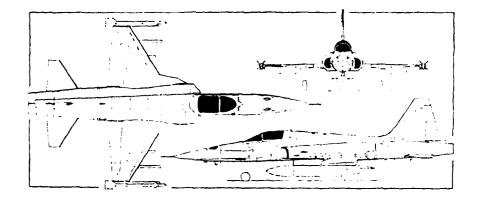
c. Edge detected

Figure 18 Plan view images of YE 16

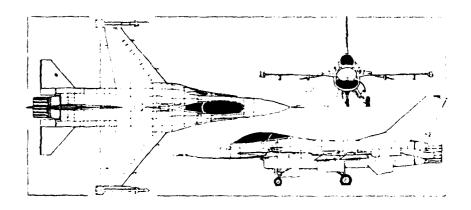


a. F 102 (LENGTH = 20.8M, WING SPAN = 11.6M)

Figure 19. Target aircraft (Sheet 1 of 4)

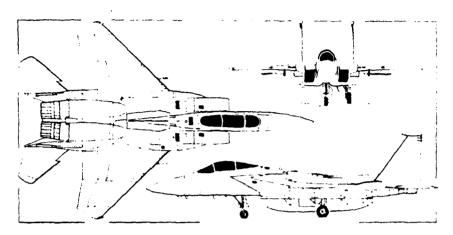


b. Northrop F-5E (length = 14.73 meters, wing span = 8.128 meters)

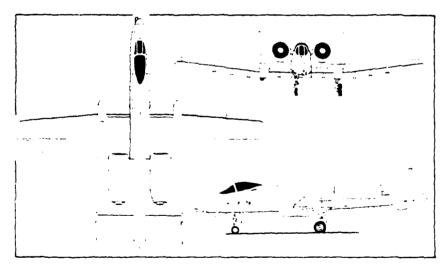


c. General dynamics F-16A (length = 14.17 meters, wing span = 10 meters)

Figure 19. Target aircraft (Sheet 2 of 4)

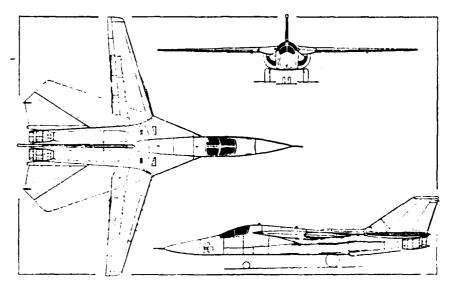


d. McDonnell Douglas F-15 (length = 19.4 meters, wing span = 13 meters)



e. Fairchild Republic A-10A (length = 16.2M, wing span = 17.5M)

Figure 19. Target aircraft (Sheet 3 of 4)



f. General Dynamics FB-111A

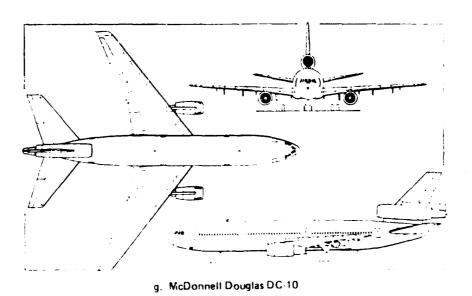


Figure 19. Target aircraft (Sheet 4 of 4)

Section III RADAR IMAGE CLASSIFICATION USING THE METHOD OF MOMENTS

One of the more difficult problems in the design of a recognition system for pictorial patterns is the selection of a set of appropriate numerical attributes or features to be extracted from the object of interest for classification. One set of features that has been applied successfully to the recognition of optical pictures is the set of geometric moments of the image and invariant functions of these moments [6], [7], [8],. The geometric moments and invariant moment functions and their use in classifying radar images of targets are detailed in this section.

3. 1 CEOMETRIC MOMENTS AND INVARIANT MOMENT FUNCTIONS OF IMAGES

The non-central (p + q)th order moments of an NxM rectangular image field $f(\mathbf{x_i},\ y_i)$ are defined by

$$n_{\mathbf{pq}} = \frac{1}{N \cdot M} \sum_{i=1}^{N} \sum_{j=1}^{M} f(\mathbf{x}_{i}, \mathbf{y}_{j}) \mathbf{x}_{i}^{\mathbf{p}} \mathbf{y}_{j}^{\mathbf{q}}$$
(20)

where (x_i, y_j) are the coordinates of the (i, j) cell and $f(x_i, y_j)$ is the intensity function.

The central moments for the same rectangular image field are defined by

$$m_{\mathbf{pq}} = \frac{1}{N-M} \sum_{i=1}^{N} \sum_{j=1}^{M} |\mathbf{f}(\mathbf{x}_i, \mathbf{y}_j)| (\mathbf{x}_i - \overline{\mathbf{x}})^{\mathbf{p}} |\mathbf{y}_j - \overline{\mathbf{y}})^{\mathbf{q}}$$
(21)

where.

$$\bar{x} = \frac{n_{10}}{n_{00}}$$
 (22)

and

$$\overline{y} = \frac{n_{01}}{n_{00}}$$
 (23)

The normalized central moments are defined by

$$\mu_{pq} = \frac{m_{pq}}{m_{00}} ,$$

and are invariant with respect to changes in the image intensity. Central moments as used in this discussion are the normalized central moments defined above.

From Equation (21), the central moments are seen to be invariant under translation but vary under rotations of the image. Using the theory of algebraic invariants Hu[7] has shown that algebraic relations exist among the central moments of an image that are invariant under translation and rotation (see also Appendix A).

These invariant moment functions are of the form

$$\rho \left(m_{p_1 q_1}, m_{p_2 q_2}, \ldots m_{p_n q_n} \right)$$

and are invariant under co-ordinate transformations. In particular a set of invariants known as orthogonal invariants are functions whose value does

not change under a rotation of co-ordinates, i.e., they are invariant with respect to the orthogonal transformation

$$\begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} x \\ y \end{pmatrix}$$
 (24)

for any angle θ , i.e.,

$$\rho(m_{p_1q_1}^2, m_{p_2q_2}^2, \dots m_{p_nq_n}^2) = \rho(m_{p_1q_1}, \dots m_{p_nq_n})$$

where the $m_{p_iq_i}$ are computed using the image intensity field f(x,y) and the $m_{p_iq_i}$ are computed from f(x',y'). When these functions are invariant under rotations and/or reflections about one of the coordinate axes they are called absolute orthogonal invariants. In what follows, by 'invariants' we refer to these absolute orthogonal invariants.

Using the theory of algebraic invariants, $\operatorname{Hu}[7]$ has shown that the number of invariants involving moments from second to nth order (n = p + q) are the same as the number of moments with orders 2 to n which is

$$N = \frac{(n+4) \cdot (n-1)}{2} .$$

In optical and infrared image recognition systems only invariant functions obtained from the second and third order moments are usually used. For these moments, there are six absolute orthogonal invariants given by

$$\rho_0 = (\mu_{20} + \mu_{02})^{1/2} = r \tag{25}$$

$$\rho_1 = \frac{1}{r^4} \left[(\mu_{20} + \mu_{02})^2 + 4\mu_{11}^2 \right]$$
 (26)

$$\rho_2 = \frac{1}{r^6} \left[(\mu_{30} - 3\mu_{12})^2 + (3\mu_{21} - \mu_{03})^2 \right]$$
 (27)

$$\rho_3 = \frac{1}{r^6} \left[(\mu_{30} + \mu_{12})^2 + (\mu_{21} + \mu_{03})^2 \right]$$
 (28)

$$\rho_{4} = \frac{1}{r^{12}} \left[(\mu_{30} - 3\mu_{12})(\mu_{30} + \mu_{12}) \left\{ (\mu_{30} + \mu_{12})^{2} - 3(\mu_{21} - \mu_{03})^{2} \right\} + (3\mu_{21} - \mu_{03})(\mu_{21} + \mu_{03}) \left\{ 3(\mu_{30} + \mu_{12})^{2} - (\mu_{21} + \mu_{03})^{2} \right\} \right]$$
(29)

$$\rho_{5} = \frac{1}{r^{8}} \left[(\mu_{20} - \mu_{02}) \left\{ (\mu_{30} + \mu_{12})^{2} - (\mu_{21} - \mu_{03})^{2} \right\} + 4\mu_{11} (\mu_{30} + \mu_{12}) (\mu_{21} + \mu_{03}) \right]$$
(30)

and one skew orthogonal invariant given by

$$\rho_{0} = \frac{1}{r^{12}} \left[(3\mu_{21} - \mu_{03})(\mu_{30} + \mu_{12}) \left\{ (\mu_{30} + \mu_{12})^{2} - 3(\mu_{21} + \mu_{03})^{2} \right\} - (\mu_{30} - 3\mu_{12})(\mu_{21} + \mu_{03}) \left\{ 3(\mu_{30} + \mu_{12})^{2} - (\mu_{21} + \mu_{03})^{2} \right\} \right].$$
(31)

Above ρ_1 , ρ_2 , ..., ρ_6 have been normalized by dividing by ρ_0 = r to cancel uniform scale changes. Because the quality of most radar images is not good compared to optical or FLIR images, the number of moments and invariants used in most of the classification tests of radar images described in this report is much larger than six. In particular, all invariant functions involving central moments up to order n=7 (i. e., a total of 33) were computed using the formulas presented in Appendix A. In the classification tests, all of the above invariants as well as smaller subsets of these invariants were used to construct feature vectors. Classification results from these tests are presented in Section VI.

Although the moment functions in Equations (25) to (31) above and those presented in Appendix A are invariant under orthogonal transformations, they are not invariant under general algebraic transformations. In particular if the apparent target rotation rate is not estimated, the cross range dimension of an inverse SAR image may not be properly scaled. The result is a

A skew orthogonal invariant changes sign under reflection.

distorted image, which destroys the invariance of the above moment functions. A technique for estimating the cross range scale of inverse SAR images using orthogonal invariant moment functions is discussed in Section 3.2. This technique has been tested on the turntable data but has not been proven yet on real moving target data.

To overcome this scaling problem, Hughes investigated the use of a set of moment functions that are invariant under general linear transformations provided that the determinant of the transformation matrix is unity, i.e.,

$$\begin{pmatrix} \mathbf{x}' \\ \mathbf{v}' \end{pmatrix} = \begin{pmatrix} \mathbf{a} & \mathbf{b} \\ \mathbf{c} & \mathbf{d} \end{pmatrix} \begin{pmatrix} \mathbf{x} \\ \mathbf{v} \end{pmatrix}$$

where:

$$\Delta = (ad-bc) = 1$$
.

Such a set exists, and for the second and third order moments $\operatorname{Hu}[7]$ gives the following invariant moment functions:

$$I_0 = \mu_{02} \,\mu_{20} - \mu_{11}^2 \tag{32}$$

$$I_{1} = (\mu_{03}\mu_{30} - \mu_{21}\mu_{12})^{2} - 4(\mu_{03}\mu_{21} - \mu_{12}^{2}) (\mu_{12}\mu_{30} - \mu_{21}^{2})$$
(33)

$$I_{2} = \mu_{02}^{(\mu_{12}\mu_{30} - \mu_{21}^{2})} - I_{1}^{(\mu_{03}\mu_{30} - \mu_{12}\mu_{21})}$$

$$- \mu_{20}^{(\mu_{03}\mu_{24} - \mu_{12}^{2})}$$
(34)

$$\frac{1_{3} - \mu_{03}^{2} \mu_{20}^{3} - \mu_{03} \mu_{12} \mu_{11} \mu_{20}^{2} - \mu_{03} \mu_{21} \mu_{20}^{2} - \mu_{02} \mu_{20}^{2}}{\mu_{03}^{2} \mu_{30}^{2} \mu_{02}^{2} \mu_{20}^{2}} - \mu_{02} \mu_{20}^{2} - \mu_{02} \mu_{20}^{2} - \mu_{02}^{2} \mu_{20}^$$

These can be normalized to generate a set of general algebraic invariants given by

$$\eta_0 = 1$$

$$\eta_1 = I_1 / I_0^{3} \tag{36}$$

$$\eta_2 = I_2/I_0$$
 (37)

$$\eta_3 = I_3 / I_0^3$$
 (38)

Using the theory of algebraic invariants a much larger set of general algebraic invariants can be derived. However in this investigation the feature vectors that were considered consist only of the above three invariant functions as well as the squares and by two products of these invariants as described in Section VI. In general, moments and invariants were computed from both the intensity modulated image and from the edge detected image obtained by the image preprocessing described in Section 2.3.

3.2 COMPUTATION OF TARGET ASPECT AND CROSS RANGE SCALING USING THE GEOMETRIC MOMENTS

If the rotationally invariant moment functions are used as features for classification, the target orientation in the imaging plane does not have to be computed. However, if that orientation is desired so that other recognition techniques, which need that information, can also be applied, the central moments can be used to give the target orientation as shown in Figure 20. It is shown in the figure that by fitting an ellipse to the aircraft image, the orientation of the major axis, which usually coincides with the fuselage, can be obtained from (see Hu [7])

$$\tan 2\theta = \frac{2\mu_{11}}{(\mu_{21} - \mu_{12})} . \tag{39}$$

where θ is the angle of this axis with the horizontal (x-axis).

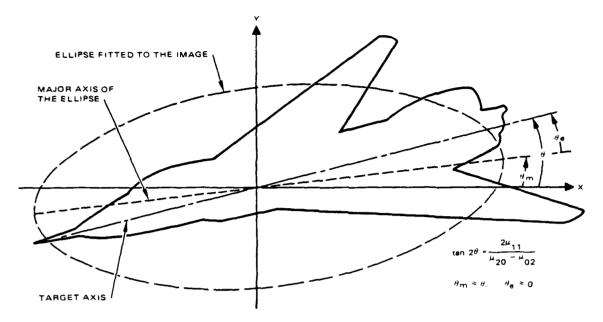


Figure 20.. Computation of fuselage orientation by fitting ellipse to target image.

In this study the performance of the above formula in estimating the target aspect angle was tested using the images of the five RATSCAT targets. The results are presented in Figure 21 in the form of a histogram that plots frequency of occurrence versus error in orientation angle estimate. A total of 30 images per target covering aspect angles from 0 degree (nose on) to 180 degrees (tail) were used in constructing the plot in Figure 21.

A problem that often arises in processing inverse SAR (ISAR) images is the determination of the scale factor to be used in converting relative cross range doppler bin numbers into absolute cross range units. For the range dimension such a scale factor is readily available from the radar range resolution cell. The computation of the equivalent range cell size along the cross range direction, however, requires knowledge of the rotation rate of the target about its centroid and is given by

$$\alpha = \frac{\lambda}{2 \cdot \Phi_{\perp}} \tag{40}$$

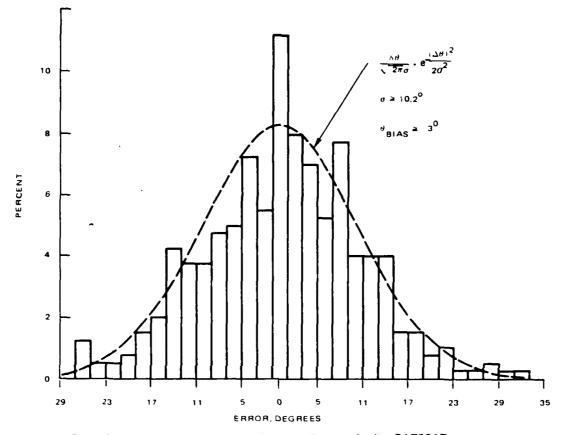


Figure 21. Aspect angle error distribution over all aspects for five RATSCAT targets.

where λ is the radar wavelength in meters. It is the time interval over which the target's doppler history is observed in forming the ISAR image, and $\dot{\phi}$ is the rotation rate of the target. Therefore, to use Equation (40) for the computation of the cross range scale factor d, the rotation rate $\dot{\phi}$ of the moving target must either be known or estimated from the radar data. Presently the lack of a good method for estimating the rotation rate of a moving target about its center imposes a major problem in the formation of properly scaled ISAR images.

During this study a technique was developed that allows the cross range dimension to be scaled without knowledge of the rotation rate. The pret, od is passed on the use of invariant moment functions of the image as a serious pelow.

If successive ISAR images of a rotating radar target are mapped on a plane so that the x-coordinate is along the range direction (i.e., the radial direction from the radar to the target) and the y-coordinate is along the cross range direction, as shown in Figure 22, then the above invariant moment functions can be used to compute the ratio of the cross range to range cell size.

Denoting this ratio by r, i.e.,

$$r = \frac{DY}{DX} , \qquad (41)$$

where DY is the cross range cell size and DN is the range cell size the orthogonal invariant moment functions are shown to be polynomials in r. For example, the invariant ρ_0 of Equation (25) can be expressed as

$$\rho_o(r) = \mu_{20} + r^2 \mu_{02}$$
 (42)

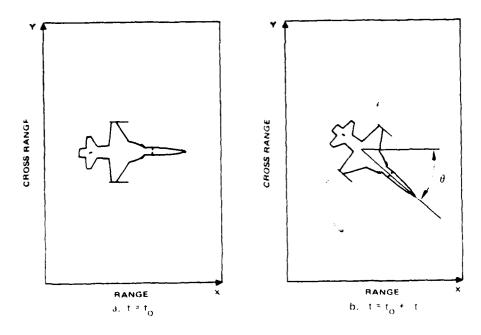


Figure 22. Two successive ISAR images rotated with respect to each other by angle heta

if μ_{20} and μ_{02} are computed by assuming that the cross range cell size DY is equal to the range cell size DX. Similarly from Equation (26)

$$\rho_{1}(\mathbf{r}) = \mu_{02}^{2} \mathbf{r}^{4} + 2\mu_{11}^{2} \mathbf{r}^{2} + 2\left(\mu_{11}^{2} + \mu_{20} \mu_{02}\right) \mathbf{r}^{2} + \mu_{20}^{2}. \tag{43}$$

If the above normalized central moments from two successive inverse SAR images as shown in Figure 22 are computed by assuming that the range and cross range cell sizes are equal and if the moment from the first image are denoted by μ_{pq} and those from the second by μ'_{pq} and the corresponding invariants are denoted by ρ_i and ρ'_{ij} , then for the correct value of the ratio r

$$\rho_{\hat{i}}(\mathbf{r}) = \rho_{\hat{i}}(\mathbf{r}) \tag{44}$$

for all i. Thus, Equation (44) can be used to obtain the correct value of the cross range scale factor. To overcome the effects of noise, usually several invariant moment functions have to be used and an average value of the resulting values of r is computed as described below in a numerical example.

Application of Equation (44) to successive ISAR images as shown in Figure 22 gives

$$(\mu_{0,2} - \mu_{0,2}^*) r^2 \approx (\mu_{2,0}^* - \mu_{2,0}^*) \tag{45}$$

and

$$\left(\mu_{02}^{2} - \mu_{02}^{2} \right) r^{4} + 2 \left(\mu_{11}^{2} - \mu_{11}^{2} \right) r^{2} + 2 \left[\left(\mu_{11}^{2} - \mu_{20}^{2} \mu_{02} \right) - \left(\mu_{11}^{2} - \mu_{20}^{2} \mu_{02} \right) \right] r^{2} + \left(\mu_{20}^{2} - \mu_{20}^{2} \right) = 0$$

$$(40)$$

Equation (40) can be simplified further using the fact that

$$\left(\mu_{11}^{2} + \mu_{02}, \mu_{02}\right)$$

is an absolute algebraic invariant (see Hu [7]), i.e., it is invariant under both rotations and nonuniform scale changes if the determinant of the transformation is unity. Using this result. Equation (46) gives

$$\left(\mu_{02}^2 - \mu_{02}^2\right) r^4 + 2 \left(\mu_{11}^2 - \mu_{11}^2\right) r^2 + \left(\mu_{20}^2 - \mu_{20}^2\right) = 0 \tag{47}$$

Substitution from Equation (45) into the term

$$(\mu_{02}^2 - \mu_{02}^2)$$
 r⁴ of equation (3-28)

gives

$$\left(\mu_{02} + \mu_{02}^{\dagger}\right) \left(\mu_{20}^{\dagger} - \mu_{20}\right) r^{2} + 2\left(\mu_{11}^{2} - \mu_{11}^{\dagger}\right) r^{2} + \left(\mu_{20}^{2} - \mu_{20}^{\dagger}\right) = 0$$
(48)

As mentioned earlier in the absence of noise, Equations (45) and (48) must have at least one common positive root. Usually when noise is present, this is not true and the two roots given by

$$\mathbf{r}_{1} = \left(\frac{\mu'_{20} - \mu_{20}}{\mu_{02} - \mu'_{02}}\right)^{1/2} \tag{49}$$

(from Equation (45)) and

$$r_{2} = \left[\frac{\left(\mu_{20}^{2} - \mu_{20}^{2}\right)}{2\left(\mu_{11}^{2} - \mu_{11}^{2}\right) - \left(\mu_{20}^{2} - \mu_{20}^{2}\right)\left(\mu_{02}^{2} + \mu_{02}^{4}\right)} \right]^{1/2}$$
(50)

(from Equation (48)) are not equal or even real. In the Hughes computer study of the performance of the above technique to real data, the above two roots were used together with a root obtained by combining Equations (27) and (25) to obtain an invariant given by $(\rho_3 - \rho_2)$. This root is a ven by

$$r = \left[\frac{\left(\mu'\frac{2}{21} - \mu', \mu'\frac{1}{12}\right) - \left(\mu\frac{2}{21} - \mu_{1,1}, \mu_{1,2}\right)}{\left(\mu^{\frac{2}{2}} - \mu_{1,1}, \mu_{2,1}\right) - \left(\mu^{\frac{2}{2}} - \mu', \mu'\frac{1}{21}\right)} \right]^{1/2}$$
(51)

In combining these roots, only the positive ones are considered. To give equal weight to those with r < l as to those with r > l, the geometric rather than the algebraic mean was used; i.e.,

$$\overline{r} = (r_1 r_2 r_3)^{1/3}$$
 (52)

which is equivalent to averaging of the logarithm of the above roots.

The above method was used to compute the ratio of the range to cross range scale factor of a set of ISAR images obtained from multifrequency step S-band data for a scaled model of the F-5E. The data were recorded at the RATSCAT facility where the target was placed on a turntable and slowly rotated at a known rate. Using Equation (40) and the known rotation rate, the cross range cell size for the images was computed. The ratio of this cell size to the radar range resolution cell for the images was equal to 0.816.

Smoothed estimates of the above ratio using successive images of the rotating target are given in Table 2. These images were formed for target aspects ranging from 180 degrees (tail aspect) to 120 degrees. The target rotation between successive images was 3 degrees. From the results in this table, the cross range scale factor is estimated to within a 28 percent accuracy. This improvement is significant when compared to the previous complete lack of any knowledge of the cross range cell size dimension. The accuracy to within the cross range scale factor is estimated using this technique will probably be adequate to allow the use of moments for the automatic classification of aircraft targets. However, no tests were performed in this study to verify this assumption.

•	0.0
Ι.	02

0.966

0.901

0.736

1.05

0.583

0.705

0.763

0.786

0.774

0.873

0.868

0.749

0.742

0.780

0.590

0.088

0.583

TABLE 2. COMPUTED RATIO OF CROSS RANGE TO RANGE SCALE FACTOR USING PLAN VIEWS OF THE F-5E.

(Correct Value is 0.81b)

Section IV RANGE IMAGE CLASSIFICATION USING RANGE AND CROSS RANGE COLLAPSING

In addition to feature vectors computed from the geometric moments of the image feature vectors obtained by collapsing the image intensities along two orthogonal axes are also tested. Using the three second order moments μ_{02} , μ_{20} and μ_{11} the orientation of the target in the image plane is computed from Equation 39 and the images are rotated so that the same orientation is used for all images. The intensity distribution of the rotated images is then averaged along the Y and X axes to produce average X-axis and Y-axis intensity profiles as shown in Figure 23. The correlation functions of the X-axis and the Y-axis intensity profiles are computed and used to construct a feature vector as shown in Figure 23. The performance of this feature vector was tested using the radar images generated in Section II and the results are presented in Section VI.

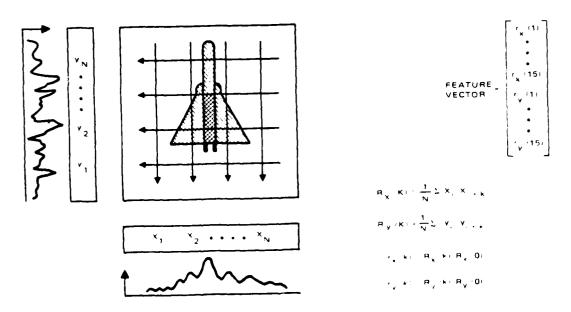


Figure 23. Computation of feature vector using range and cross range collapsing

Section V CLASSIFIER DESIGN AND OPTIMUM FEATURE SELECTION

The classifier algorithm uses the above measured feature vectors to classify the target into one of M possible target classes. A review of the literature on pattern recognition shows that many techniques can be used to design such classifiers. Among the most common are the nearest neighbor, maximum likelihood, linearized Bayes, and Fisher's linear discriminant technique. The performance of classifiers, designed using the above techniques, depends on the available learning samples and the particular classification problem. Since this investigation is primarily concerned with the analysis of the performance of feature vectors computed using the method of moments, no elaborate classifier designs are attempted. Instead two classifiers are used: the Kth nearest neighbor and the Gaussian classifier. These two classifiers and two schemes used in reducing the dimensionality of the feature vectors are described in this section.

5.1 Kth NEAREST NEIGHBOR CLASSIFIER

The nearest neighbor classifier decides that a measured feature vector \boldsymbol{X} belongs to target $\boldsymbol{T}_{\underline{i}}$ if

where:

 $X_{k}^{(i)} = \begin{array}{ll} \text{a measured feature vector for target } \text{j at the } k^{th} \text{ aspect angle} \\ \text{and} = X = \text{denotes the norm of } X. \end{array}$

The K-meanest neighbor of smiller, classifies the measured feature vector X to be these that represents the majority among the K-meanest neighbors.

A generalization of the Kth nearest neighbor classifier is the distance weighted Kth nearest neighbor used for many of the classification tests described in Section VI. To classify a measured feature vector X using this classifier the K nearest neighbors of X are found from the training set $\{X_1, X_2, \ldots, X_K\}$ and are ordered so that their distances $d_i = \bigcup_{i=1}^{|I|} X_i - X_i$ from X are increasing

$$d_1 < d_2 < ... < d_K$$
.

Using these distances, a set of weights W_{j} 's are computed as follows.

$$W_{j} = \frac{d_{K} - d_{j}}{d_{K} - d_{1}} \qquad d_{K} \neq d_{1}$$
 (54)

$$W_{j} = 1 \quad \text{iff} \quad d_{K} = d_{1} \qquad (55)$$

These weights are then normalized so that their sum is equal to unity

$$W_{j} = \frac{W_{j}'}{K} \cdot \frac{\sum_{j=1}^{K} W_{j}'}{W_{j}'}$$

Using the weights W_1 a set of class weights $W^{(m)}$, $m=1, 2, \ldots M$ for the M pessible target classes $\{C_1, C_2, \ldots, C_M\}$ are computed from

$$\mathbf{w}^{(\mathbf{m})} = \sum_{\mathbf{X} \in C_{\mathbf{m}}} \mathbf{w}_{i} . \tag{57}$$

Since

$$\sum_{j=1}^{K} w_{j} = \sum_{m=1}^{M} w^{(m)} = 1.0$$

the class weights $W^{(m)}$ can be considered as an estimate of $p(X/C_m)$, and the unknown target is identified as target C_m if

$$W^{(m)} = \max_{n} W^{(n)} > p$$
 (58)

where $0 \le p < 1$ is a threshold used for the decision of unknown; i.e., if $\max (W^{(n)}) < p$ an unknown is declared.

To reduce the computations required for this classification scheme, a technique developed by Friedman, et al. [9], is used. According to their algorithm, the set of feature vectors from all the classes are sorted on the values of one of the coordinates. Then for each test vector, the training feature vectors are examined in the order of their projected distance from the test vector on the sorted coordinate. When this projected distance becomes larger than the distance to the Kth closest vector of those training vectors already examined the search stops, the K closest vectors of those already examined are those for the entire training set.

5.2 GAUSSIAN CLASSIFIER

The Gaussian classifier is a maximum likelihood classifier under the assumption that as the target aspect varies the measured feature vectors. X are random vectors distributed according to the multivariate Gaussian density. Under this assumption, the classification algorithm is reduced to the computation of a quadratic discriminant function given by

$$D_{j}(\mathbf{X}) = -\frac{1}{2} \left(\mathbf{n} \cdot \mathbf{S}_{j} - \frac{1}{2} \left(\mathbf{X} + \overline{\mathbf{X}}_{j} \right)^{T} \cdot \mathbf{S}_{j}^{T} \cdot \mathbf{X} + \overline{\mathbf{X}}_{j} \right)$$
 (50)

where the $|\cdot|$ indicates the "determinant of", $\overline{X_j}$ is an estimate of the mean value of the feature vector X for the jth target and S_j is an estimate of the covariance matrix of X for the jth target. To obtain these estimates, a set of N learning samples of the feature vector X is used to represent each target over a range of aspect angles. This set of feature vectors is represented by

$$X_{i}^{(j)}$$
, $\left\{ \begin{array}{l} i = 1, 2, ..., N \\ i = 1, 2, ..., K \end{array} \right\}$

where N is the number of aspects and j = 1, 2, ..., K indicates the class or target (C_j) membership. Using this training set, the target means are computed by

$$\overline{X}_{j} = \frac{1}{N} \sum_{i=1}^{N} X_{i}^{(j)}$$
 $j = 1, 2, ..., K$, (60)

and the target scatter or covariance matrices are

$$S_{j} = \frac{1}{N} \sum_{i=1}^{N} (X_{i}^{(j)} - \overline{X}_{j}) (X_{i}^{(j)} - \overline{X}_{j})^{T}$$
 (61)

Using these estimates of the mean and covariance of the feature vector for each target, the quadratic discriminants $D_i(X)$ is $1,\ldots K$ of Equation (59) are evaluated for X equal to the measured feature vector. The feature vector is then assigned to target C_i if

$$D_i(X) \ge D_i(X)$$
 for all i. (2)

To allow for the rejection of an unrecognizable feature vector, two additional tests are performed before the vector X is assigned to target C_j . The first test is based on Hotelling's generalized T^2 statistic, [10] which is given by

$$T^2 = (X - \overline{X}_j)^T S_j^{-1} (X - \overline{X}_j)$$
 (63)

If the dimensionality of the feature vector X is M and if N training vectors are used in computing the mean \overline{X}_j and covariance S_j for class C_j then the statistic

$$\left(\frac{N-M}{NM}\right) T^2$$

is distributed according to the central F_{ν_1} , ν_2 distribution with ν_1 = M and ν_2 N-M degrees of freedom [10], [11]. So for a confidence interval $(1-\alpha) \cap \beta$

$$T^{2} \leq \frac{N + M}{N - M} \left[\begin{array}{c} (1-a) \\ M, N-M \end{array} \right]$$
 (64)

For example, using tabulated values of the F distribution [12], the results are that if the dimensionality of the feature vector is 12 and if 18 training vectors are used then for a 97.5 percent confidence interval, $(F_{1.2.6}(0.975) = 5.37)$

$$T^2 = \frac{18 \times 12}{6} \times 5.37 = 193.32$$

If the training set is increased to 27 feature vectors, then for the same confidence interval $(\mathbf{F}_{12,\,15})^{(0.975)} \simeq 2.9n)$

Thus this test is used to reject a feature vector X that does not fall within a present a midence region centered at the class whose discreminant function

is maximized by X. The second test is used to safeguard against misclassification of feature vectors that have a high probability of belonging to more than one class and is based on the cost to reject as compared to the loss incurred for making a substitution error. Assuming that the observed feature vector X is to be assigned to one of K classes and denoting by a for $i = 1 \dots K$ the action of choosing class C_i and by a_{K+1} , the action of rejection, then if $\lambda(a_i/C_j)$ is the loss incurred for choosing action a_i when class C_i is present, the Bayes risk is given by

$$R(a_i/X) = \sum_{j=1}^{K} \lambda(a_i/C_j) p(C_j/X). \qquad (65)$$

Assuming that all classes are equiprobable and that

$$\lambda(a_i/C_j) = \begin{cases} 0 & i = j & i, j = 1, ... K \\ \lambda_r & i = K+1 \\ \lambda_s & \text{otherwise} \end{cases}$$
 (00)

and using the Baves rule:

$$p(C_j/X) = \frac{p(X/C_j) P(C_j)}{p(X)},$$

the resulting risk functions satisfy the relation

$$\frac{R(\mathbf{a}_{1}/\mathbf{X})}{R(\mathbf{a}_{K-1}/\mathbf{X})} = \frac{\chi_{\mathbf{S}}}{\chi_{\mathbf{p}}} = \frac{1 - \frac{p(\mathbf{X}/C_{1})}{K}}{\sum_{i=1}^{K} p(\mathbf{X}/C_{1})}$$
(6.7)

firi 1, 2... II.

From above, the selection of the action that minimizes the Bayes risk is equivalent to the selection of class C_i if

$$p(X/C_i) \ge p(X/C_j)$$
 for $j = 1, ... K$ (68)

and

$$\frac{p(X/C_i)}{K \sum_{p(X/C_j)} > \frac{\lambda_s - \lambda_r}{\lambda_s} = q, \qquad (69)$$

Otherwise, the decision to reject is optimum. Under the assumption that all classes are equiprobable application of Bayes rule gives

$$p(C_{i}/X) = \frac{p(X/C_{i})}{\sum_{j=1}^{K} p(X/C_{j})} > q$$

$$(70)$$

and under the Gaussian density assumption

$$p(C_i/X) = \left\{ \sum_{j=1}^K \exp\left[D_j(X) - D_i(X)\right] \right\}^{-1} + q, \qquad (71)$$

where $D_i(X)$ is given by Equation (59).

In summary the Gaussian classifier used in this study assigns a feature vector \boldsymbol{X} to class \boldsymbol{C}_{i} if:

1)
$$D_{\frac{1}{2}}(X) \ge D_{\frac{1}{2}}(X)$$
 for all i (72)

2)
$$T^2 = (X - \overline{X}_j)^T S_j^{-1} (X - \overline{X}_j) \le \frac{NM}{N - M} \left[(\beta) \right]_{M, N-M}$$
 (73)

and

3)
$$p(C_i/X) = \left\{ \sum_{j=1}^K \exp[D_j(X) - D_i(X)] \right\}^{-1} > q$$
 (74)

where:

$$D_{j}(X) = -\frac{1}{2} \ln |S_{j}| - \frac{1}{2} (X - \overline{X}_{j})^{T} S_{j}^{-1} (X - \overline{X}_{j})$$
 (75)

M = dimensionality of X

N = number of training vectors for class C;

 β = confidence interval

and q is a threshold on the a posteriori probability $p(C_{\frac{1}{2}}/X)$ which can be expressed as

$$q = \frac{s - r}{s}, \qquad (70)$$

where $\chi_{_{\Gamma}}$ is the loss incurred by the decision to reject and $\chi_{_{\rm S}}$ is the loss due to a misclassification error.

3.3 FEATURE SELECTION USING A GENERALIZED MAHALANOBIS DISTANCE

Since the processing of high dimensional feature vectors is costly in terms of computation time and computer memory requirements, the dimensionality of the feature vector should be limited to the minimum necessary to ensure proper classification. A method is described here that reduces the dimensionality of the feature vector by selecting a subset of features after ranking the features according to their importance as "good" class discriminants. The criterion used to rank the features is based on a generalized Mahalanobis distance [8] given by

$$d^2 = tr (W^{-1} B) \tag{77}$$

where:

$$\mathbf{w} = \sum_{i=1}^{K} \mathbf{w}_{i}$$

is the total within-class scatter matrix

$$B = \sum_{i=1}^{K} m_i M_i M_i^T$$

is the between-classes scatter matrix,

$$M_i = \frac{1}{n_i} \sum_{X \in C_i} X$$
, $i = 1, ...K$

are the class means and

$$\mathbf{W}_{i} = \sum_{\mathbf{X} \in C_{i}} (\mathbf{X} - \mathbf{M}_{i})(\mathbf{X} - \mathbf{M}_{i})^{\mathsf{T}}$$

are the within-class scatter matrices.

Substitution of the above relations into Equation (77) gives

$$d^{2} = \sum_{i=1}^{K} n_{i} M_{i}^{T} W^{-1} M_{i}. \tag{78}$$

Equation (78) is used as a measure of the interclass distance. When the dimensionality of the feature vector X is less than the total number of available features, the elements of X are selected to maximize the interclass distance d². Since the total number of possible combinations of s features out of m available features is

$$\binom{m}{s} = \frac{m!}{(m-s)! s!}$$

which can be very large

(for example
$$\binom{36}{18} \approx 10^{10}$$
),

and Moret [8] developed a stepwise search procedure that selects features sequentially so that at every step the selected feature together with those already selected yield a maximum of the Mahalanobis distance. This method will produce the optimal subset when the features are statistically independent. When the features are correlated, however, the interdependence of the elements of the feature vector requires that they all be considered together at every step. Thus a stepwise procedure may not always yield the primal solution in such cases. To speed this stepwise process, Gonzalez and Moret [8] introduced a recursive procedure for updating the inverse of the total within-class scatter matrix W as new components are added to the feature vector. Without going through the details of their derivation, the result is given

$$\mathbf{W}_{K+1} = \begin{bmatrix} \mathbf{W}_{K-1} & \mathbf{Z} \\ \vdots \\ \mathbf{Z}^T \end{bmatrix} \text{ and } \mathbf{M}_{K+1} = \begin{bmatrix} \mathbf{M}_{K-1} \\ \vdots \\ \mathbf{Z} \end{bmatrix}$$

$$\mathbf{w}_{\mathbf{K+1}}^{-1} = \begin{bmatrix} \mathbf{U} & \mathbf{V} & \\ ---- & \mathbf{\rho} & \\ \mathbf{V}^{\mathbf{T}} & \boldsymbol{\beta} \end{bmatrix}$$

$$\beta = (w - Z^T W_K Z)^{-1}, \quad V = -\beta W_K^{-1} Z$$

$$U = W_{K}^{-1} + \beta (W_{K}^{-1} | Z) (W_{K}^{-1} | Z)^{T}$$

$$d_{K+1}^{2} = M_{K+1}^{T} W_{K+1}^{-1} M_{K+1} = \underbrace{M_{K}^{T} W_{K}^{-1} M_{K}}_{+} + \underbrace{(M_{K}^{T} W_{K}^{-1} | Z - \mu)^{2}}_{-} (5-27)$$

which can be written as

$$d_{K+1}^2 = d_K^2 + \Delta d_{K+1}^2 . (79)$$

Above K is the iteration index that should not be confused with the subscript on Equation (78) indicating class membership. To obtain the overall increment in d² (over all classes) of Equation (78), the increment of Equation (79) must be summed over all the classes. Thus in selecting an additional feature at each step, only the above increment in d² need be considered.

5.4 DIMENSIONALITY REDUCTION BY PROJECTING THE FEATURE VECTOR INTO A LOWER DIMENSIONAL SUBSPACE

In many cases where all components of the feature vector are available, a linear transformation can be used to reduce the dimensionality of the feature vector. Thus this technique selects a subset of derived features that are linear combinations of all available features. One advantage of this scheme over the previously described selection procedure is that all of the original features contribute to the derived of wer dimensional feature test or. A disadvantage is that all original features must be a montred or measured.

Since for a K class problem a K dimensional feature vector is adequate for classification, an $(M \times K)$ matrix H is introduced to transform the original M-dimensional feature vector X into a K dimensional feature vector Y by letting

$$Y = H^{T}X$$
 (80)

The matrix H is chosen so that the derived feature vectors Y from the kth class for k = 1, 2, ... K cluster around the points

. K cluster around the points

$$E_{k} = K \begin{bmatrix} 0 \\ \vdots \\ 0 \\ 1 \end{bmatrix} \leftarrow \text{kth element}$$

$$\begin{bmatrix} 0 \\ \vdots \\ 0 \\ \vdots \\ 0 \end{bmatrix}$$

in K dimensional space.

If the training set consists of feature vectors

$$X_{i}^{(j)}$$
, $\left\{\begin{array}{l} i=1,\ 2,\ \ldots,\ N \\ j+1,\ 2,\ \ldots,\ K \end{array}\right\}$

then the requirement that the derived feature vectors $Y_i^{\rm C}$ cluster around the points E, can be formulated as an optimization problem where a matrix H is sought so that the criterion

$$= \sum_{k=1}^{K} \sum_{i=1}^{M} \left(\mathbf{H}^{T} \mathbf{X}_{i}^{(k)} - \mathbf{E}_{i} \right)^{T} \left(\mathbf{H}^{T} \mathbf{X}_{i}^{(k)} - \mathbf{E}_{k} \right)$$

is minimized. Using the trace and the cyclic properties of the trace operator, the above can also be written as

$$J = \sum_{k=1}^{K} \sum_{i=1}^{N} \left\{ tr(H^{T}X_{i}^{(k)}(X_{i}^{(k)})^{T} H - 2tr(E_{k}^{T}H^{T}X_{i}^{(k)}) + E_{k}^{T}E_{k} \right\}.$$
 (82)

If the elements of H are

$$(h_{ij})_{i=1...M}^{j=1...K}$$

and the gradient of J with respect to H is defined by

$$\nabla J_{H} \stackrel{\Delta}{=} \begin{bmatrix} \frac{\partial J}{\partial h_{11}} & --- & \frac{\partial J}{\partial h_{1K}} \\ \\ \frac{\partial J}{\partial h_{M1}} & --- & \frac{\partial J}{\partial h_{MK}} \end{bmatrix}$$

then for the optimizm matrix H

$$\nabla J_{H} = 0$$
.

Tsing the identities [13]

$$\boldsymbol{v}_{H}^{-1}(\mathbf{r})\boldsymbol{H}^{T}\boldsymbol{A}\boldsymbol{H}) \approx (\boldsymbol{A} + \boldsymbol{A}^{T}) \boldsymbol{H}$$

and

$$\nabla_{\mathbf{H}} \operatorname{tr}(\mathbf{A}^{\mathsf{T}} \mathbf{H}^{\mathsf{T}} \mathbf{B}) = \mathbf{B} \mathbf{A}^{\mathsf{T}}$$

then

$$\nabla J_{H} = 2 \left(\sum_{k=1}^{K} \sum_{i=1}^{N} x_{i}^{(k)} (x_{i}^{(k)})^{T} \right) H - 2 \sum_{k=1}^{K} \sum_{i=1}^{N} x_{i}^{(k)} E_{k}^{T} = 0$$
(83)

Letting

$$Z = \sum_{k=1}^{K} \sum_{i=1}^{N} x_i^{(k)} E_k^T$$

then

$$z = \sum_{i=1}^{N} |\mathbf{x}_{i}^{(1)}| \left[\sum_{i=1}^{N} |\mathbf{x}_{i}^{(2)}| \cdots \right] \left[\sum_{i=1}^{N} |\mathbf{x}_{i}^{(K)}| \right]. \tag{84}$$

$$\mathbf{S} = \sum_{k=1}^{K} \sum_{i=1}^{N} \mathbf{X}_{i}^{(k)} = (\mathbf{X}_{i}^{(k)})^{T}$$
(85)

ansair a. Equation (55), the obtaining H is given on

$$H = S^{-1} / 2$$

. .

Using this matrix H, the original feature vector X is transformed into the K dimensional vector Y given by

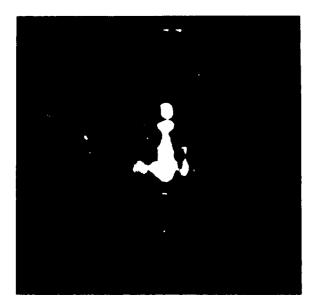
$$Y = H^T X$$

and both training and classification is performed using this derived feature vector Y.

Section VI RADAR IMAGE CLASSIFICATION RESULTS

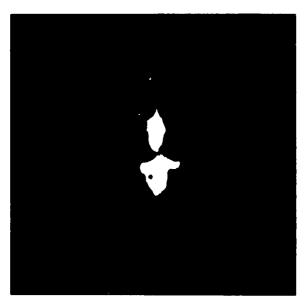
Using the imaging algorithms described in Section II, a set of 540 plane view images was formed from the RATSCAT data base (108 images per target for 1/3 scaled models of an F-102, an F-15, a YF-16, an F-5E and an A-10). As mentioned earlier the data were collected at X band against 1/3 scale models using a total of 256 frequency steps with 3.4 MHz step size. For the full size targets these measurements correspond to S-band data with a measurement bandwidth of about 256 MHz. Out of the total of 108 images per target the first 72 images for each target were obtained using the lower 128 frequency measurements covering a bandwidth of 128 MHz out of the 256 MHz measurement bandwidth while the last 36 images per target were obtained using the upper 128 frequency measurements. The resulting range resolution on the full scale targets is 1.17 meters. For each image, a 3 degree rotation of the target was used resulting in a cross range resolution of 0.95 meters on the full scale target. The images were formed over the following set of aspect angles 0-3 degrees, 5-8 degrees... 175 - 178 degrees and 2 - 5 degrees, 7 - 10 degrees, 12 - 15 degrees, 177 - 180 degrees for the first set of 128 frequencies and for aspects of 0-3 degrees, 5-8 degrees, ... 175-178 degrees for the second set of 128 frequencies. Examples of these images are shown in Figures 24 through 28.

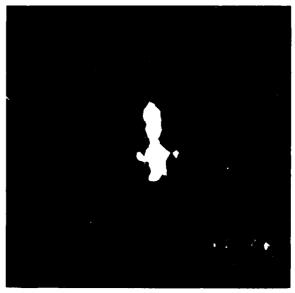
The images of the F-111 were formed by C.C. Chen [14] using data obtained with the ALCOR radar. The data were recorded from a maneuvering F-111 target aircraft using a linear FM waveform with 500 MHz instantaneous bandwidth. The resolution of the images from this target was reduced to match the resolution of the RATSCAT images. The images for this target were formed over the following aspect angles.





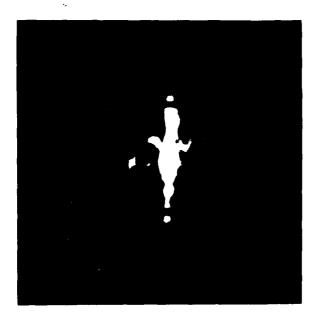
a. Tail Aspects





to those Alipect

 $E_{\rm clip} \approx 24$. Top view of E-102 with 1-17m range resolution and 0-95m cross range resolution





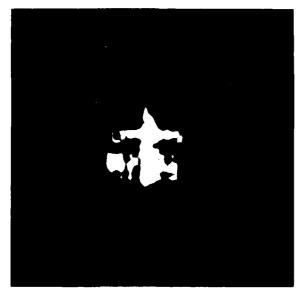
a. Tail Aspects

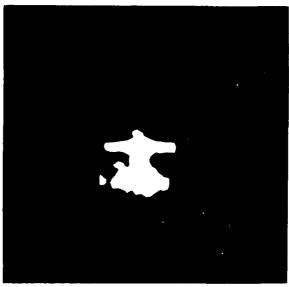




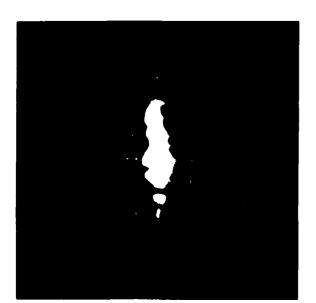
b. Nose Aspect

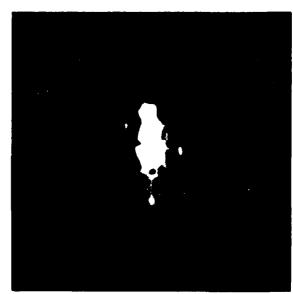
Figure 25. Top view of F-5E with 1.17m range resolution and 0.95m cross range resolution.





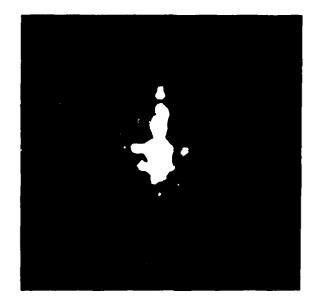
a. Tail Aspects

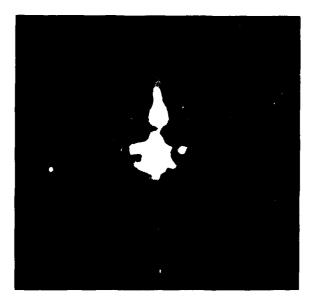




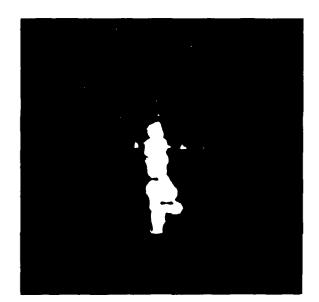
b. Nose Aspects

 $E_{\rm quive}\,26$. Top view of E-15 with 1.17m range resolution and 0.95m cross range resolution





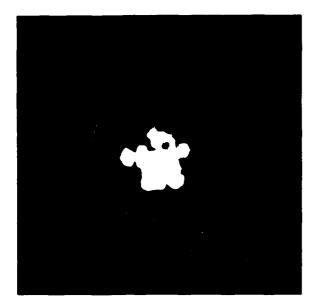
a Tail Aspects

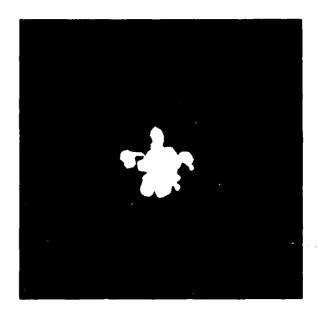




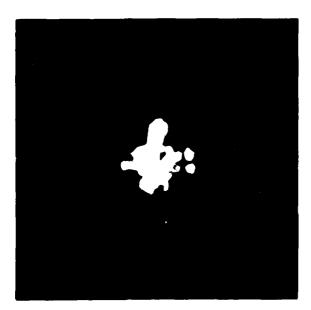
b. Nose Aspect

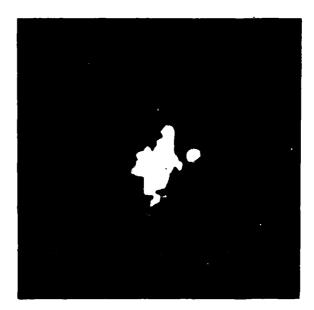
 2.5×27 . Top view of YE 16 with 1,17m range resolution and 0.95m, 3.685 range resolutions.





a. Tail Aspects





b. Nose Aspects

0 - 3 degrees, 5 - 8 degrees,
85 - 87 degrees and 2 - 5 degrees,
7 - 10 degrees,87 - 90 degrees.

Examples of images from this target are shown in Figure 29. All of the above images correspond to top views of the targets i.e. projections on the horizontal plane. From the NOSC data set a small number of images (10 top views and 10 side views) was obtained for a DC-10, and examples are shown in Figure 13. Because this target was moving, it was necessary to use the full 256 MHz measurement bandwidth to properly focus and align the range signatures used in forming the image. For classification tests only the ten side views obtained on this target have been used. These images were combined with a set of ten side view images obtained using RATSCAT measurements for the F-5E and the A-10. The side views on these targets, examples of which are shown in Figures 9 and 10, were obtained for a 6.2 degree rotation of the target for nose aspects (-18.2 to -12.0 degrees, -15.2 to -9 degrees, -12.2 to -6 degrees, -912 to -3 degrees, -6.2 to 0 degrees, 0 to 6.2 degrees, 3.2 to 9.7 degrees, 6.0 to 12.2 degrees, 9.0 to 15.2 degrees, 12.9 to 18.2 degrees) using the full 256 MHz measurement bandwidth resulting in 0.6 meter range resolution and 0.5 meter cross range resolution on the full scale target.



a. First 20 seconds or 2048 signatures ($\approx 2.5^{\circ}$ aspect change)



b. Second 20 seconds



c. Third 20 seconds



d. Fourth 20 seconds

 $E_{\rm squire}(29)$. Images of an E 111 generated using data obtained by ALCOR $R_{\rm obtain}$

6.1 CLASSIFICATION PERFORMANCE OF FEATURE VECTORS USING THE CENTRAL MOMENTS

In this section performance results are presented for feature vectors computed using moments from the image, a combination of moments from the image and edge detected image and moments from the edge detected image. For the classification tests the Kth nearest neighbor classifier and the Gaussian classifier have been used.

To avoid the problem of singular covariance matrices resulting from training sets with a small number of learning vectors as compared to their dimensionality, whenever the training set was small in comparison to the number of features the kth nearest neighbor classifier was used.

For the classification tests in this study the measurements on the targets ranging from 0 degrees (for nose aspects) to 180 degrees (for tail aspects) on the plan view images were subdivided into either four 45 degree sectors (0 = 45 degrees, 45 = 90 degrees, 90 = 135 degrees, 135 = 180 degrees) or three 60 degree sectors (0 = 60 degrees, 100 = 120 degrees, 120 = 180 degrees). In each case the classifiers were trained and tested on a per sector basis.

For classification using the central moments from the image and from the edge detected image all moments of orders 2 to 7 were computed giving a total number of 33 central moments. For the classification tests using the Kth nearest neighbor for the plan view images with 1.17 meter range and 0.95 meter cross range resolution the classifier was trained using 30 images per target obtained using the lower 128 frequency steps over tro aspects 0 - 5 degrees, 5 - 8 degrees, ... 175 - 175 degrees. The lessition was tested using 72 in ages per target, where we in ages were of according to the same set of 125 frequency steps for data . The req from uspects 2 - Stegrous, 7 - 19 degrous, ... 477 - 180 degrous, ou compressi in sizes were obtained from the next 42% free lency steps out or the total 20 stops for aspects (1.3 degrees, 1.1.5 degrees, 1.1.17 - 17 degrees, The real entired is an ents there berden epoliticarding to their in pointance asgovernous lesserie accomprehenses using the Manadamons cristian elegitors as justice oper the total . . The resulting ordering of the rest 12 m anders computed is ngambaga antawatha wer all aspects per the first PATSCA basegors had

the F-111 was: μ_{20} , μ_{02} , μ_{40} , μ_{22} , μ_{30} , μ_{31} , μ_{60} , μ_{70} , μ_{12} , μ_{41} , μ_{51} , μ_{24} , where the first index corresponds to range and the second to cross range. The corresponding ranking of the best 12 central moments from the edge detected image is μ_{20} , μ_{02} , μ_{40} , μ_{22} , μ_{60} , μ_{31} , μ_{30} , μ_{50} , μ_{24} , μ_{04} , μ_{00} , μ_{42} . Figures 30 and 31 show the classification performance obtained using all 33 moments from the image. Figures 32 and 33 show the classification performance obtained when the best 12 moments from the image and the best 12 moments from the edge detected image are combined to form a 24 element feature vector. These figures show the performance is of the order of 50 to 65 percent. However, when the elements of the feature vector obtained using the 24 moments (best 12 from image and best 12 from edge detected image) are normalized by their standard deviation over all targets and aspects the kth nearest neighbor classification results are of the order of 80 to 97 percent as seen in Figures 34 and 39. Figures 35 through 38 show a detailed tabulation of the classification results

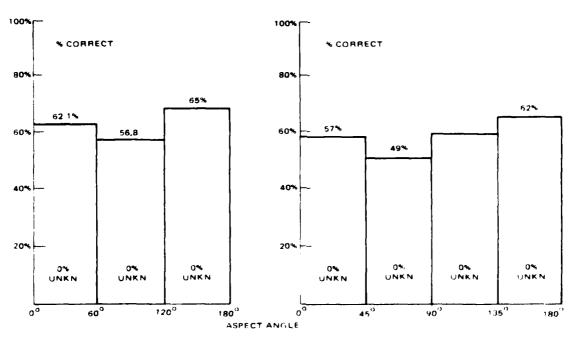


Figure 30. Classification results for 33 moments from image intensity (1m resolution plan views £ classes) using kth hearest heighbor (no unknown class for 459 and 609 sectors.

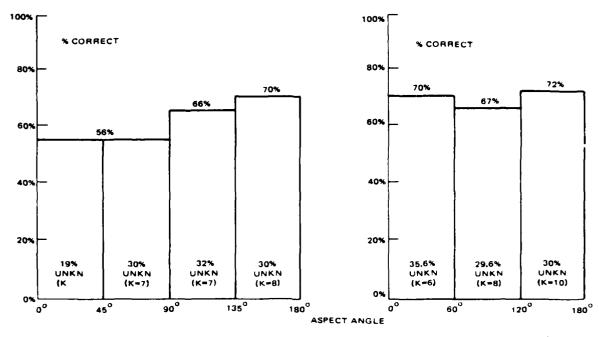


Figure 31. Classification results for 33 moments from image intensity (1m resolution plan views 6 classes) using kth nearest neighbor (with unknown), for 450 and 600 sectors.

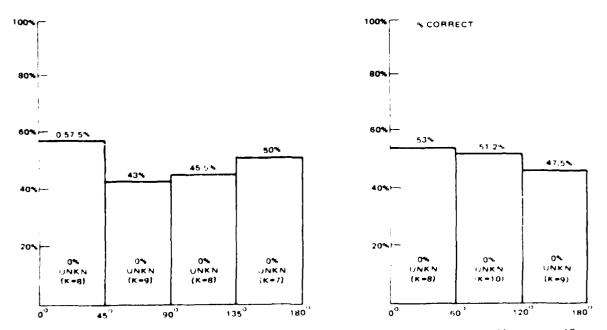


Figure 32 K-th nearest neighbor classification results (1m resolution plan views 6 classes) for 24 moments (12 best from image and 12 best from edge detected image) (no unknown), for 45° and 60° sectors.

71

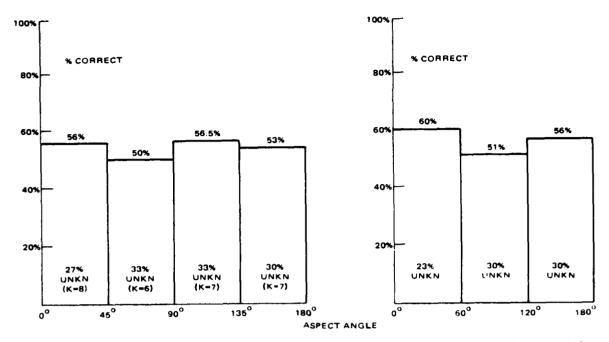


Figure 33. K-th nearest neighbor classification results (1m resolution plan views 6 classes) for 24 moments (12 best from image and 12 best from edge detected image) (with unknown) for 45° and 60° sectors.

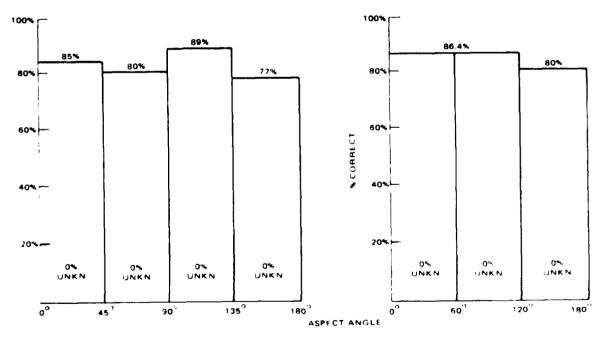


Figure 34 K-th nearest neighbor classification results (1m resolution plan views 6 classes) for 24 moments (12 best from image and 12 best from edge detected image) normalized by their standard deviations for K = 6 and no 'unknown' class.

CLASS	FIER	DECISION
-------	------	----------

		F-102	F-15	F-16	F-5E	A-10	F-111	UNKN
	F-102	17		1				0
NTED	F-15		15	3				0
RESE	F-16		3	15				0
TARGET PRESENTED	F-5E	2		6	10			0
TAR	A-10					18		0
	F-111						9	0

% CORRECT DECISION: 85%

Figure 35. Kth nearest neighbor classification results (1m resolution plan view images) for K = 6 for 24 moments (best 12 from image and best 12 from edge detected image) normalized by their standard deviations for 0° to 45° aspect angles (0° is nose-on). Threshold for unknown = 0.0.

CLASSIFIER DECISION

		F-102	F-15	F-16	F-5E	A-10	F-111	UNKN
	F-102	17				1		0
NTED	F-15		15	2				0
RESE	F-16		5	11	2			0
TARGET PRESENTED	F-5E		1	1	15	1		0
TAR	A-10	3				15		0
	F-111	2		1	1		5	0

% CORRECT DECISION: 80%

Figure 36. Kth nearest neighbor classification results (1m resolution plan view images) for K = 6 for 24 moments (best 12 from image and best 12 from edge detected image) normalized by their standard deviations for 45° to 90° aspects. Threshold for unknown = 0.0.

CLASSIFIER DECISION

		F-102	F-15	F-16	F-5E	A-10	F-111	UNKN
	F-102	15			1	2		0
=======================================	F-15		16	2				0
PHEST NIE	F-16			18				0
	F - 5E				15	3		0
1 AHOL I	A-10	2				16		0
	£.111							

S CORRECT DECISION 89%

Figure 37 Kth nearest neighbor classification results it miresolution plan views) for K = 6 for 24 moments (best 12 from image and best 12 from edge detected image) normalized by their standard deviations for 90° to 135° aspects. Threshold for unknown = 0.0°

CLASSIFIER DECISION

				2.100,,	TEN DE		<u> </u>	
		F-102	F-15	F-16	F-5£	A-10	F-111	UNKN
1	F-102	14		2	2			0
PRESENTED	F-15		14	4				0
AE SE	F-16	1	4	11	2			0
	f∙5E	2	2	2	12			0
TARGET	A-10					18		0
	F-111							

% CORRECT DECISION 77%

Figure 38 Kth nearest neighbor classification results (1m resolution plan views) for K = 6 for 24 moments (best 12 from image and best 12 from edge detected image) normalized by their standard deviations for 135° to 180° aspects. Threshold for unknown = 0.0

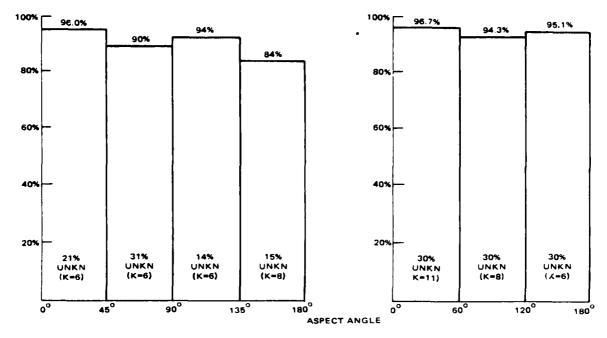


Figure 39. Kth nearest neighbor classification results (1m resolution plan views 6 classes) for 24 moments (12 best from image and 12 best from edge detected image) normalized by their standard deviations with provision for unknown.

for the 24 element feature vector when four 45 degree sectors are used. Figures 40 to 43 show the improved classification performance for the same feature vector when the classifier is allowed to make a decision of unknown'. The classification performance of the same 24-element feature vect rathen applied to the 1/2 meter resolution plan view images is shown in Figures 44 through 46. Figures 44 and 45 show results for nose aspects while Figure 46 shows the results for tail aspects. A comparis in of Figure 44 to Figure 35, which shows results for the 1-meter resolution data. sh ws a 5 percent (more) venient in classification for nose aspects while from Figures 40 and 38 about 16 percent improvement is snown for tail aspects. However, in view of the limited numbers of images with 4.5 meter resolution (see) in these tests the results of this companies in with the 1.7 meter resoluto midata is not very conclusive. Fait it does snow that classification inner verto residution in the aircraft targets considered here. Classification results with the Caussian Class Ler is not our degree sect insiter the lest 12 in shorts out of the total for a mostering in the disage and also for the pest social in the image compliment to the post social in the edge activities that

CLASSII	FIFR	DEC	ISION

		F-102	F-15	F-16	F-5E	A-10	F-111	UNKN
	F-102	14						4
TARGET PRESENTED	F-15		14	1				3
RESE	F-16		1	13				4
GETP	F-5E			1	10	i		7
TAR	A-10					17		1
	F-111			-			7	2

PLAN VIEWS NOSE ASPECTS ONE METER RESOLUTION

% CORRECT DECISION: 96%

% UNKNOWN: 21%

Figure 40. Kth nearest neighbor classification results (1m resolution plan views) for K = 6 for 24 moments (best 12 from image and best 12 from edge detected image) normalized by their standard deviations for 0° to 45° aspects. Threshold for unknown = 0.5.

CLASSIFIER DECISION

		F-102	F-15	F-16	F•5E	A-10	F-111	UNKN
	F-102	13				2		3
PRESENTED	F-15		16					2
Ht St	F-16			16				2
	F-58				11	2		5
TAHGET	A-10	1				16		1
	F-111							

S CORRECT DECISION 94%

% UNKNOWN 14%

Figure 42. Kith nearest neighbor classification results (1m resolution plan views) for K = 6 for 24 moments (best 12 from image and best 12 from edge detected image) normalized by their standard deviations for 90° to 135° aspects. Threshold = 0.5.

CLASSIFIER DECISION

		F-102	F-15	F-16	F-5E	A-10	F-111	UNKN
	F-102	13						5
PRESENTED	F-15		14	2				1
RESE	F-16		4	7				7
	F-SE			1	10			7
TARGET	A-10					13		5
	F-111						4	5

% CORRECT DECISION: 90%

% UNKNOWN: 31%

Figure 41. Kth nearest neighbor classification results (1m resolution plan views) for K = 6 for 24 moments (best 12 from image and best 12 from edge detected image) normalized by their standard deviations for 45° to 90° aspects. Threshold for unknown = 0.5.

CLASSIFIER DECISION

		F-102	F-15	F-16	F-5E	A-10	F-111	UNKN
	F-102	13						5
NTED	F-15		14	2				2
TARGET PRESENTED	F-16	1	4	10	1			2
GETP	F-5E	1	1	2	10			4
TAR	A-10					17		1
	F-111							

PLAN VIEWS TAIL ASPECTS 1 METER RESOLUTION

% CORRECT DECISION 84%

% UNKNOWN 15%

Figure 43. Kth nearest neighbor classification results (1m resolution plan views) for K = 8 for 24 moments (best 12 from image and best 12 from edge detected image for 135° to 180° aspects. Threshold for unknown = 0.5.

С	LA	SS	IFI	E	R	٥	E	C	IS.	10	N
		_									

		F-102	F-15	F-16	F-5E	A-10	F-111	UNKN
	F-102	6						
NTED	F-15		5	1				
RESE	F-16			6				
TARGET PRESENTED	F-5E	2			4			
TAR	A-10					6		
	F-111							

PLAN VIEWS NOSE ASPECTS 0.5 METER RESOLUTION

% CORRECT DECISION: 90%

Figure 44. Kth nearest neighbor classification results (0.5 meter resolution plan views) for K = 5 for 24 moments (best 12 from image and best 12 from edge detected image) normalized by their standard deviations for 0° to 40° aspects. Threshold = 0.0.

CLASSIFIER DECISION

		F-102	F-15	F-16	F∙5€	A-10	F-111	UNKN
	F-102	5						1
NTED	F-15		5	1				
PRESENTED	F-16			6				
	F-5E				4			2
TARGET	A-10					6		
	F-111							

PLAN VIEWS NOSE ASPECTS 0.5 METER RESOLUTION

% CORRECT DECISION: 96% % UNKNOWN = 10%

Figure 45. Kth nearest neighbor classifications results (0.5 meter resolution plan views) for K = 7 for 24 moments (best 12 from image and best 12 from edge detected image) normalized by their standard deviations for 0° to 40° aspects. Threshold = 0.5.

CLASSIFIER DECISION

		F-102	F-15	F-16	F•5E	A-10	F-111	UNKN
- 1	F-102	5	1					
4160	F-15		6					
PRESENTED	F-16		1	5		İ 1		
	F-5E				6			ļ
TARGET	A-10					6		
	E.111							

PLAN VIEWS TAIL ASPECTS 0.5 METER RESOLUTION % CORRECT DECISION 93%

Figure 46. Kth nearest neighbor classification results for K = 5 for 24 moments (best 12 from image and best 12 from edge detected image) normalized by their standard deviations for 140° to 180° aspects.

are shown in Tables 3 and 4. Tables 5 and 6 show classification results with the Gaussian classifier using three 60 degree sectors for the best 18 moments from the image and for the best nine moments from the image combined with the best nine from the edge detected image. Tables 7 and 8 show cladsification results using the best 12 moments from the edge detected image using the Gaussian classifier with 45 degree sectors. In general, the best overall performance was obtained using the best 12 moments from the image although for nose aspects the 12-dimensional feature vector using the moments from the edge detected image and the feature vector using six moments from the image and six from the edge detected image did better.

Using 10 profile views of the DC-10, F-5E and A-10 and the best 18 normalized moments from the image, classification tests were performed with the kth nearest neighbor rule for k = 4. The classifier was trained using five images per target and was tested with the remaining five per target. A perfect score was obtained in this test, and the results are shown in Figure 47.

CLASSIFIER DECISION

٥		OC-10	F-5E	A-10	UNKN
PRESENTED	DC-10	5			
TARGETP	F•5E		5		
_	A-10			5	

Figure 47. Kth nearest neighbor classifier using 18 normalized moments. Profile images with 0.5 meter resolution (nose aspects), (K = 4).

TABLE 3. CLASSIFICATION RESULTS FOR 45° SECTORS (SECTOR) IS NOSE ASPECTS AND SECTOR 4 IS TAIL ASPECTS) USING THE BEST 12 OUT OF 33 MOMENTS COMPUTED FROM THE IMAGE INTENSITIES USING THE GAUSSIAN CLASSIFIER WITH β = 100 PERCENT AND q = 0.0

FO:	R SECTOR	#1							
T.	ARGET:		fefe I	DENTIFIE	D ΔS##				
''	MALI.	F-102		F-16	F-5E	A-10	F-111	UK	
F.	-102	9	0	່	,) <u>-</u>	0	0	0	
1	-15	í	5	ì	ź	ő	Ö	0	
	-16	Ó	ó	4	4	Ö	1	õ	
	-5E	Ō	Ó	Ó	9	Ŏ	Ö	Ď	
	-10	Ö	Ö	Ŏ	Ś	Š	1	Ő	
	-111	Ô	Ö	Ō	ó	Õ	9	Ö	
		TY FOR A C	-	_	•	Ū	J	•	
	R SECTOR	#2							
; T/	ARGET:			DENTIFIE					
			F-15	F-16	F-5E	A-10	F-111	UK	
	-102	6	8	0	0	3	0	0	
	-15	0	5	1	2	0	1	0	
	-16	0	0	5	3	0	1	0	
	-5E	0	0	1	6	1	0	0	
	-10	0	0	0	0	9	0	0	
	-111	0	0	0	0	0	9	0	
Pf	ROBABILIT	TY FOR A C	ORRECT D	ECISION	75 [%]				
FOF	R SECTOR	- 3							
7	ARGET:			DENTIFIE	AS##				
		F-102	F-15	F-16	F-5E	A-10	F-111	UK	
	-102	9	0	0	0	0	0	0	
F-	-15	0	9	0	0	0	0	0	
۲.	-16	0	9	0	0	0	0	0	
F.	-5E	2	0	7	0	0	0	0	
À-	-10	1	0	0	6	2	0	0	
٤.	-:11	0	0	0	0	0	C	0	
2.0	ROBABIL 11	Y FOR A C	ORRECT D	ECISION 8	36 :				
F0!	R SECTOR	= 4							
7,	ARGET:		1.4	DENTIFIE) 45				
		F-102	F-15	F-16	F-5E	A-10	F-111	UK	
	-102	3	0	0	9	0	0	0	
Ξ.	-15	1	5	0	2	i	0	3	
	-16	3		5	0	ì	Ð	9	
Ε.	-5E	′)))	9	n	0	Ģ	
	-10	9)	0	9	3	C	0	
Ξ.	-11'	Э		Ú	0	j	⁵	Э	
20	ROSABILIT	Y FOR A 3	ORRECT DI	ECISION '	32				ļ

TABLE 4. CLASSIFICATION RESULTS FOR 45° SECTORS USING 12 MOMENTS (BEST 6 FROM IMAGE AND BEST 6 FROM EDGE DETECTED IMAGE) USING THE GAUSSIAN CLASSIFIER WITH β = 99 PERCENT AND q = 0.0

F-102		BECT) PS - 41:					
F-102	TARGET:		**1	DENTIFI	ED AS**	L		
F-15 0 7 2 0 0 0 0 0 0 F-16 3 0 6 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		F-102	F-15	F-16	F-SE	A-10	F-111	UK
F-16 3 0 6 0 0 0 0 0 0 F-5E 1 0 0 0 0 0 0 0 0 F-111 0 0 0 0 0 0 0 0 0 9 0 0 0 0 9 0 0 0 0	F-102	8	0	0	0	0	0	í
F-5E 1 0 0 0 8 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	F-15	0	7	5	0	0	0	0
F-5E 1 0 0 0 8 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	F-16	3	0	6	0	0	0	0
A-10 0 0 0 0 0 9 9 0 0 F-111 0 0 0 0 0 9 9 0 PROBABILITY FOR A CORRECT DECISION 882 PERCENT UNKNOWN 27 FIT COR SO HILL COTT COR HILL IN INTERPRETATION AS THE CORRECT DECISION 712 FIT COR SO HILL COTT COR HILL IN INTERPRETATION AS THE CORRECT DECISION 712 PERCENT UNKNOWN 22 FIT COR SO HILL COTT COR HILL IN INTERPRETATION AS THE CORRECT DECISION 712 PERCENT UNKNOWN 22 FIT CORR SO HILL COTT COR HILL IN INTERPRETATION AS THE CORRECT DECISION 712 PERCENT UNKNOWN 22 FIT CORR SO HILL COTT COR HILL IN INTERPRETATION AS THE CORRECT DECISION 712 PERCENT UNKNOWN 22 FIT CORR SO HILL COTT COR HILL IN INTERPRETATION AS THE CORRECT DECISION 712 FIT CORR SO HILL COTT COR HILL IN INTERPRETATION AS THE CORRECT DECISION 712 FIT CORR SO HILL COTT CORR HILL IN INTERPRETATION AS THE CORRECT DECISION AS THE CORRECT DECIS		1	0	0	8	0	0	0
F-111 0 0 0 0 0 0 0 9 0 PROBABILITY FOR A CORRECT DECISION 887 PERCENT UNKNOWN ZZ FIT CO IR SELECTIO IR SELECTI		0	n	n	n	9	n	0
PROBABILITY FOR A CORRECT DECISION 882 PERCENT UNKNOWN 27		-		-	n	-	9	Ö
PERCENT UNKNOWN ZZ FT O R SEE CO T O T		-	-	•	-	-	·	_
TARGET:								
F-102 F-15 F-16 F-5E A-10 F-111 UK F-102 9 0 0 0 0 0 0 0 F-15 0 4 4 1 0 0 0 F-16 2 0 3 1 3 0 0 A-10 1 0 0 0 0 7 0 1 F-111 0 0 0 0 7 0 1 F-111 0 0 0 0 0 7 0 1 F-111 0 0 0 0 0 7 0 1 F-111 0 0 0 0 0 7 0 1 F-111 0 0 0 0 0 7 0 0 FROBBILITY FOR A CORRECT DECISION 712 PERCENT UNKNOWN 22 F-102 F-15 F-16 F-5E A-10 F-111 UK F-102 9 0 0 0 0 0 0 F-15 0 9 0 0 0 0 0 F-16 2 0 5 2 0 0 0 F-16 2 0 5 2 0 0 0 F-11 0 0 0 3 0 0 0 F-11 0 0 0 3 0 0 0 F-11 0 0 0 0 7 0 0 F-11 0 0 0 0 7 0 0 F-11 0 0 0 0 7 0 0 F-11 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 F-15 0 0 0 0 0 0 0 0 0 F-16 2 0 0 0 0 0 0 0 0 F-17 0 0 0 0 0 0 0 0 0 F-18 0 0 0 0 0 0 0 0 0 F-19 0 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 F-15 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0			DR #	22				
F-102 9 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	TARGET:							
F-15 0 4 4 1 0 0 0 0 0 F-16 2 0 3 1 3 0 0 0 F-5E 3 0 0 0 6 0 0 0 0 0 F-5E 3 0 0 0 0 0 7 0 1 F-111 0 0 0 0 0 0 7 0 1 F-111 0 0 0 0 0 0 7 0 1 F-111 0 0 0 0 0 0 9 0 0 9 0 0 9 0 0 9 0 0 0 0 0 9 0			F-15	F-16				UK
F-16 2 0 3 1 3 0 0 0 A-10 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	F-102	9	0	0	-	0	_	0
F-SE 3 0 0 6 6 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	F-15	0	4	4	i	-	0	0
A-10 1 0 0 0 0 7 0 1 F-111 0 0 0 0 0 0 9 9 PROBABILITY FOR A CORRECT DECISION 71Z PERCENT URRINGIN 2Z F-10P SS HELCE TO PR SHEES TARGET: F-102 F-15 F-16 F-5E A-10 F-111 UK F-102 9 0 0 0 0 0 0 F-15 0 9 0 0 0 0 0 F-16 2 0 5 2 0 0 0 F-5E 1 0 0 0 3 0 0 F-11 0 0 0 3 0 0 F-11 0 0 0 0 7 0 F-11 0 0 0 0 7 0 FROSABILITY FOR A CORRECT DECISION 34Z PERCENT URRINGIN 1Z F-102 F-15 F-16 F-5E A-10 F-111 UK F-102 9 0 0 0 0 0 0 F-15 3 4 2 0 0 0 0 F-16 2 0 6 1 0 7 0 F-17 3 4 10 0 0 0 0 0 F-16 2 0 6 1 0 7 0 0 F-16 2 0 6 1 0 7 0 0 F-16 2 0 6 1 0 7 0 0 F-17 5 F-16 F-5E 3 0 0 0 0 0 0 0 F-16 2 0 6 1 0 7 0 0 F-17 5 F-16 F-5E 3 0 0 0 0 0 0 0 F-16 2 0 6 1 0 7 0 0 F-17 5 F-16 F-5E 3 0 0 0 0 0 0 0 F-16 2 0 6 1 0 0 0 0 0 F-16 2 0 6 1 0 0 0 0 F-16 2 0 6 1 0 0 0 0 F-11 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 F-11 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	F-16		0	3	i	3	0	0
F-111 0 0 0 0 0 0 0 9 0 9 0 PROBABILITY FOR A CORRECT DECISION 712 PERCENT UNKNOWN 22 FF CD R SHILL COUNTY CD R HE CS TARGET: **IDENTIFIED AS** F-102 F-15 F-16 F-5E A-10 F-111 UK F-102 9 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	F-SE	3	8	0	6	-	0	0
PROBABILITY FOR A CORRECT DECISION 712 PERCENT UNKNOWN 22 PT CO PR SD HE CO TY CO PR SN = N = US TARGET: **IDENTIFIED AS** F-102 F-15 F-16 F-5E A-10 F-111 UK F-102 9 0 0 0 0 0 0 F-15 0 9 0 0 0 0 0 F-16 2 0 5 2 0 0 0 F-5E 1 0 0 0 3 0 0 F-5E 1 0 0 0 3 0 0 F-11 0 0 0 0 0 7 0 0 F-11 0 0 0 0 0 0 0 0 PROBABILITY FOR A CORRECT DECISION 34Z PERCENT UNKNOWN 17 PT CO PR SD HE CO TY CO PR SN = N = 10 F-111 UK F-102 9 0 0 0 0 0 0 F-15 3 4 2 0 0 0 0 F-16 2 0 6 1 0 0 0 F-16 2 0 6 1 0 0 0 F-16 2 0 6 1 0 0 0 F-16 2 0 6 0 0 0 F-5E 3 0 0 6 0 0 0 F-5E 3 0 0 0 6 0 0 0 F-11 0 0 0 0 0 0 F-11 0 0 0 0 0 0	A-10	1	0	0	0	7	0	1
PERCENT UNKNOWN 2Z	F-111	0	0	0	0	0	9	0
F-102 F-15 F-16 F-5E A-10 F-111 UK F-102 9 0 0 0 0 0 0 0 F-15 0 9 0 0 0 0 0 0 F-16 2 0 5 2 0 0 0 F-5E 1 0 0 0 0 7 0 0 A-10 2 0 0 0 0 7 0 0 F-111 0 0 0 0 0 7 0 0 PROBABILITY FOR A CORRECT DECISION 34I PERCENT UNKNOWN 17 TARGET: **IDENTIFIED AS** F-102 F-15 F-16 F-5E A-10 F-111 UK F-102 9 0 0 0 0 0 0 0 F-15 3 4 2 0 0 0 0 0 F-16 2 0 6 1 0 0 0 F-16 2 0 6 1 0 0 0 F-16 2 0 6 1 0 0 0 F-17 0 0 0 0 0 0 F-18 3 0 0 6 0 0 0 F-10 1 0 0 0 0 0 F-11 1 0 0 0 0 0 0			::) P : :(::	ঙ				
F-102	TARGET:							
F-15 0 9 0 0 0 0 0 0 0 0 0 0 0 0 F-16 2 0 5 2 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		F-102	F-15					-
F-16 2 0 5 2 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		•	-	-	_			_
F-SE 1 0 0 3 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		_	•				=	
A-10 2 0 0 0 7 0 0 F-111 0 0 0 0 0 0 0 0 0 PRECABILITY FOR A CORRECT DECISION 34I PERCENT UNKNOWN 17 FT O IR SHEE COTT O IR SHEE A-10 F-111 UK F-102 F-15 F-16 F-5E A-10 F-111 UK F-102 7 0 0 0 0 0 0 F-15 3 4 2 0 0 0 0 F-16 2 0 6 1 0 7 0 F-5E 3 0 0 6 0 0 0 F-111 0 0 0 0 0 0 0	F-16	2	0			-	-	-
F-111 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	F-SE		-	•	_	-	_	-
PREDABILITY FOR A CORRECT DECISION 34Z PERCENT UNKNOWN 0Z I'' CO R SS HELCO TO CR SH A TARGET: **IDENTIFIED AS** F-102 F-15 F-16 F-5E A-10 F-111 UK F-102 7 0 0 0 0 0 0 0 F-15 3 4 2 0 0 0 0 F-16 2 0 6 1 0 7 0 F-5E 3 0 0 6 0 0 0 F-5E 3 0 0 6 0 0 0 F-11 0 1 0 0 0 0 0 0 F-111 0 0 0 0 0 0 0	A-10	2	0	-		·	-	0
PERCENT UNKNOWN 17		-	-	•	0	0	Ü	0
TARGET: **IDENTIFIED AS** F-102 F-15 F-16 F-5E A-10 F-111 UK F-102 7 0 0 0 0 0 0 0 F-15 3 4 2 0 0 0 0 F-16 2 0 6 1 0 7 0 F-5E 3 0 0 6 0 0 0 F-5E 3 0 0 6 0 0 0 A-10 1 0 0 0 8 0 9 F-111 0 0 0 0 0 0 0	PROBABILITY FOR		ECISION 84%					
F-102 F-15 F-16 F-5E A-10 F-111 UK F-102 7 0 0 0 0 0 0 0 0 F-15 3 4 2 0 0 0 0 0 F-16 2 0 6 1 0 7 0 F-5E 3 0 0 6 0 0 0 A-10 1 0 0 0 0 0 0 F-111 0 0 0 0 0 0	PERCENT UNKNOW							
F-102		3 EE CC T (D13 :#:	<i>2</i> 4				
F-15 3 4 2 0 0 0 0 0 9 F-16 2 0 6 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	FOR S		**]	DENTIFI				
F-16 2 0 6 1 0 0 0 0 F-5E 3 0 0 6 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	TARGET:	F-102	**]	DENTIFI			F-111	IJĸ
F-SE 3 0 0 6 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	TARGET:	F-102	**] F-15	DENTIFI F-16	F-5E 0	A-i0 0	0	ij
A-10 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	F-102 F-15	F-102 9 3	**] F-15	DENTIFI F-16 0 2	F-5E 0	A-i0 0 0	0	ე ე
\overline{z} -111 $\overline{0}$ $\overline{0}$ $\overline{0}$ $\overline{0}$ $\overline{0}$	F-102 F-15	F-102 9 3	**1 F-15 G 4	DENTIFI F-16 0 2	F-5E 0 0	A-i0 0 0	0 0 2	0 0 0
-π-111 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	F-102 F-15 F-16	F-102 9 3 2	**1 F-15 0 4	DENTIFI F-15 0 2 6	F-5E 0 0 1	A-i0 0 0	0 0 2	ე ე
PROPABILITY FOR A CORRECT DECISION 173%	TARGET: F-102 F-15 F-16 F-5E	F-102 9 3 2	**1 F-15 0 4 0	DENTIFI F-15 0 2 6	F-5E 0 0 1	A-i0 0 0	0 0 7 0	0 0 0 0 0
	TARGET: F-102 F-15 F-16 F-5E A-10	F-102 9 3 2 3	**1 F-15 0 4 0	DENTIFI F-15 0 2 6 0	F-5E 0 0 1 6	A-i0 0 0 0 0	0 0 7 0	0 0 0

TABLE 5. CLASSIFICATION RESULTS FOR 60° SECTORS FOR THE BEST 18 MOMENTS OUT OF 33 (COMPUTED FROM THE IMAGE INTENSITY) USING THE GAUSSIAN CLASSIFIER WITH β = 99.5 PERCENT AND q = 0.5

FOR	SECT	ार ग	: 1.	· · · · · · · · · · · · · · · · · · ·			
TARGET	1	**	IDENTIF	IED AS*	k		
	F-102	F-15	F-16	F-5E	A-10	F-111	UK
F-102	12	0	0	0	0	0	0
F-15	i	6	0	2	Ō	ž	1
F-16	2	ō	6	- 2	ō	<u>1</u>	1
F-5E	i	0	· 0	10	0	Ō	1
A-10	0	0	0	0	12	0	0
F-111	0	0	0	0	0	12	C
PERCENT UNKN	FOR A CORRECT DE ONN 4%						
FOR	SECT	DR #	22				
TARGET:		**	DENTIF	ED AS*	(
	F-102	F-15	F-16	F-5E	A-10	F-111	UK
F-102	10	0	0	0	2	0	0
F-15	0	10	i	0	1	0	0
F-16	1	0	4	0	6	0	1
F-5E	0	0	G	5	6	0	í
A-10	0	0	0	0	10	0	2
F-111	0	0	0	0	0	0	0
PERCENT UNKNO	OR A CORRECT DE NAN 72 SES JEEL COONY C		3				
TARGET:		**]		ED AS**			
	F-102	F-15	F-16	F-5E	A-10	F-111	UK
F-102	8	0	0	1	0	0	2
F-15	0	8	0	4	0	0	0
F-16	1	0	9	2	0	0	0
F-5E	0	0	0	10	1	0	1
A - 10	0	0	0	Ú	1.2	0	ŋ
F-111	0	0	0	0	0	0	0
PROBABILITY FOR PERCENT UNKNO	OR A CORRECT DE NA 52	CISION 832	_				

TABLE 6. CLASSIFICATION RESULTS FOR 60° SECTORS FOR THE BEST 18 MOMENTS (9 FROM IMAGE AND 9 FROM EDGE DETECTED IMAGE) USING THE GAUSSIAN CLASSIFIER WITH β = 100 PERCENT AND q = 0.0 (NO UNKNOWN)

TARG. ::		**	IDENTIF	TED AST	*		
	F-102	F-15	F-16	F-5E	A-10	F-111	ί
F-102	12		0	0	0	0	
F-15	0	8	í	0	0	3	
F-16	ž	ñ	6	ő	0	4	
F-SE	3	Ö	Õ	ý 9	0	a	
A-10	i	0	Ö	Ö	11	o o	
F-111	0	0	Ö	ō	0	ıž	
PERCENT UNKNO	OR A CORRECT D	CC131UR OVA	•				
FOR	SECT	DR AC	· 22				
TARGET:		**	IDENTIF:	(ED AS*x	K		
	F-102	F-15	F-16	F-5E	A-10	F-111	U
F-102	11	0	0	0	1	. 111	
F-15	0	8	0	0	4	Ú	
F-16	4	2	2	1	3	0	
F-5E	4	0	1	4	3	C	
A-10	2	0	0	0	10	0	
F-111	0	8	0	0	0	9	
PROBABILITY FO PERCENT UNKNO			···y				
			DENTIFI	FD AS**			
TARGET:	F-102	F-15	F-16	F-5E	A-10	F-111	U
TARGET:		0	0	1	0	0	U
TARGET:	10		7	í	Ö	Ö	
	10	2	/		-	-	,
F-102		2 0	10	1	0	0	
F-102 F-15	2		•	i 8	0	υ 0	
F-102 F-15 F-16	2 1	0	10	_	Ö	-	
F-102 F-15 F-16 F-5E	2 1 4	0 0	10	8	-	Ō	

TABLE 7. CLASSIFICATION RESULTS FOR 45° SECTORS USING THE BEST 12 OUT OF 33 MOMENTS COMPUTED FROM THE EDGE DETECTED IMAGE USING THE GAUSSIAN CLASSIFIER WITH β = 100 PERCENT AND α = 0.0

	3 HE CO TO C						
TARGET:			DENTIFI		k		
	F-102	F-15	F-16	F-SE	A-10	F-111	UK
F-102	Ġ.	Q	g	0	0	O	n
F-15	9	8	i	0	0	0	0
F-15	f;	0	5	3	1	Ŋ	(1)
F-5E	0	0	0	9	0	0	O
A-10	0	0	0	0	9	0	0
F-111	Ö	Ö	Ď	ő	Ö	9	ő
PROBABILITY FOR	A CORRECT DE	CISION 902			-		-
PERCENT UNKNOWN							
FOR 8	SECTO) (S (B)	22				
TARGET:			LOUNTERL		k		
	F -1.02	F-15	F-16	t: -2E	A-1.0	F-111	<u>U</u> C
E - F 0 D	.?	9	11	}	ϵ	3.	
1.5	0	5	1.	2	0	1	}
F-16	o	1.	.‡	2	2	0	t)
F -5E	1.	0	9	3	0	3	O.
A-tr	;	9	ı)	9	5	2	9
F -331)	a	G	ð	0	9	1)
PERCENT UNKNOWN FFT (C) IR: (E)) 18 - 31 C	3				
FOR 8							
	SHEET COMMITTEE	X X	.DINTER:			(***	
FFOR 8	s Het koorming Frii Keig	33 € F-15	.0/197471 F-16	F-SE	A-10		DK.
FLOR 8	STEE CONTROL FILSES 7	文本 : F-1章 の	.D/VT/FI F-16 0	F - 5E 0	A-10	:	DK 41
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TABLE 8. CLASSIFICATION RESULTS FOR 45° SECTORS USING THE BEST 12 OUT OF 33 MOMENTS COMPUTED FROM THE EDGE DETECTED IMAGE USING THE GAUSSIAN CLASSIFIER WITH β = 99 PERCENT AND q = 0.0

TARGET:		**	IDENTIF	IED AS*	*		
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A-10		θ	0	0	φ	0	9
F-111	0	ŋ	0	i,	0	9	0
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BABILITY FER POENT UNKNOWN YOUTH SE	0 4 CORRECT DE N 47 R HEL COUNT CO	0 DISION 76% DIFE - UE SIM!	Solution Solution	0 FEO AMES FESE	(A + 1 ()	7-111	t: Lj.k
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BABILITY FOR ROENT ONKNOW YOU THE SE	O A CORRECT DE N. 47. AND THE CONTROL OF THE CONTRO	0 DISION 76% DIFE - UE SIM!	OCHITATION OF THE OCH	0 FEO AMKS FEE 0 0 0	0 A=10 0 0	7 - 1 1 1 	n U.c U
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DRABILITY FOR POENT UNKNOWN KIN TO SE THE NAME OF THE THE NAME	A CORRECT OF NO AT A CORRECT OF NO AT A CORRECT OF NO AT A CORRECT OF NO A COR	0 DISION 76% (N) (0 PK) (N) (1 PK) (N) (1 PK) (N) (1 PK) (N) (N) (N) (N) (N) (N) (N) (N) (N) (N	OCHTUT File OCHTUT File OCHTUT OCHTUT OCHTUT OCHTUT	0 F = 58 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	0 A = 1,0 0 0 0 3		13.6 U 13.6 0
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6.2 CLASSIFICATION RESULTS USING INVARIANT MOMENT FUNCTIONS

As described in Section III some algebraic relations among the central moments of an image are invariant with respect to rotations of the image in the image plane. These relations are known as orthogonal invariant moment functions and have been proven to be a usefull feature for shape recognition in photographs and FLIR images. As described in Section III and Appendix A, 33 orthogonal moment invariants were computed using all central moments of orders 2 to 7. Orthogonal moment invariants were computed using both the image and the edge detected image. The invariant moment functions were ranked in terms of their importance as classification features using the Mahalanobis distance criterion described in Section 5. The resulting ordering was the same for both the invariants from the image and the invariants from the edge detected image. This ordering is: $\rho_{21}, \rho_{29}, \rho_{1}, \rho_{2}, \rho_{3}, \rho_{4}, \rho_{5}, \rho_{6}, \rho_{7}, \rho_{8}, \rho_{9}, \rho_{10}, \rho_{18}, \rho_{17}, \rho_{22}, \rho_{28}, \rho_{23}, \rho_{23}$

 ρ_{20} , where the invariants ρ_{i} are defined in Appendix A.

Using a 24-element feature vector obtained by combining the best 12 invariants from the image and the best 12 invariants from the edge detected image classification tests were performed against the 1 meter resolution plan view images of the five RATSCAT aircraft models and the F-111. For these tests the kth nearest neighbor classifier was used. The results are summarized in Figure 48. The training set for the RATSCAT targets consisted of 36 images per target formed by using the first set of 128 frequency steps over the following set of aspects 0 to 3 degrees, 5 to 8 degrees, ... 175 to 178 degrees. The testing set consisted of 72 images per target, where 36 were obtained from the same set of 128 frequency steps for data collected from aspects 2 to 5 degrees, 7 to 10 degrees, ... 177 to 180 degrees); 36 additional images were obtained from the next 128 frequency steps (out of the total 250 steps used for data collection) for aspects (0 to 3 degrees, 5 to 8 degrees, ...175 to 178 degrees). The training set for the F-111 images obtained using the ALCOR radar data consisted of images formed over the following set of aspects (0 to 3 degrees, 5 to 8 degrees, ...85 to 87 degrees). The testing set for this target consisted of images formed over the set of aspects (2 to 5 degrees, 7 to 10 degrees,

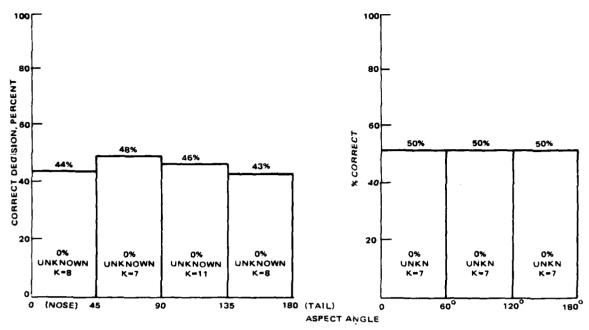


Figure 48. Classification performance of 24 invariants (best 12 from image and best 12 from edge detected image) with the kth nearest neighbor. Invariants were normalized by the radius of gyration.

... 87 to 90 degrees). Figure 49 summarizes the classification results for the best 12 invariants from the image using the kth nearest neighbor rule and Figure 50 summarizes the results obtained using the kth nearest neighbor with a feature vector made up of the six best invariants from the image and the six best invariants from the edge detected image. Results obtained using the Gaussian classifier with the best 12 and best 17 variants from the image and also with the best six and six invariants (image and edge detected image) and with the best nine and nine are tabulated in Tables 9 through 12. For the above classification tests the invariant moment functions were normalized by the factor r where r is the radius of gyration defined in Equation (25) and the power k depends on the order of the invariant and is obtained using the algorithm described in Appendix A. Some classification tests were also performed where the invariants were normalized by their standard deviations (. ver all aspects and targets) rather than by the powers of the radius of gyration. Figure 51 shows the classification performance obtained using the 12 best normalized invariants from the image and 12 best normalized invariants

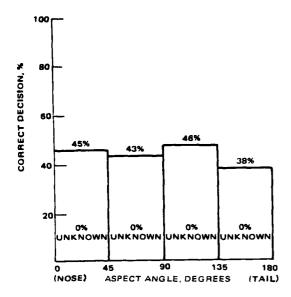


Figure 49. Classification performance of best 12 invariants using kth nearest neighbor classifier. Invariants normalized by radius of gyration.

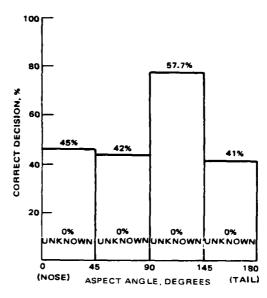


Figure 50. Classification performance of 12 invariants (best 6 from image and best 6 from edge detected image) using kth nearest neighbor classifier. Invariants normalized by radius of gyration.

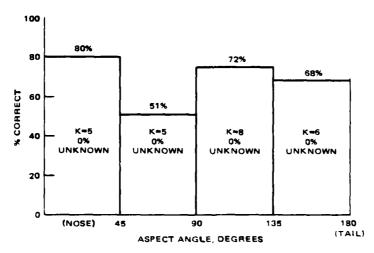


Figure 51. Classification results (1m resolution plan views 6 targets) using the kth nearest neighbor with 24 invariants (12 best from image and 12 best from edge detected image) normalized by their standard deviations rather than by powers of the radius of gyration.

TABLE 9. CLASSIFICATION RESULTS FOR 45° SECTORS FOR THE BEST 12 INVARIANTS FROM THE IMAGE USING THE GAUSSIAN CLASSIFIER WITH β = 99 PERCENT AND q = 0.7

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F-15	ž			1	0	0	0	
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			0	6	0	0	0	
A-10	0	1	0	0	8	0	0	
F-111	9	0	0	0	0	9	0	
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TARGET:		**I	DENTIFI	ED AS**	1			
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F-16	i	2	0	3	0	ō	3	
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F-15	4	0	4	0	9	ij	1	
F-SE	2	1	i	4	0	0	1	
4-10	1	2	0	1	3	ŋ	2	
F-111	3	0	0	Õ	Ō	n	5	
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FOR	$\mathbb{S} \boxplus \mathbb{C} \Upsilon$	OR a	: -4}					
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TABLE 10. CLASSIFICATION RESULTS FOR 45° SECTORS FOR THE BEST 12 INVARIANTS (6 FROM IMAGE AND 6 FROM EDGE DETECTED IMAGE) USING THE GAUSSIAN CLASSIFIER WITH β = 99 PERCENT AND q = 0.5

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FTOR TARGET: F-102 F-13 F-13 T-58	SECT	X米 石 -15 内 日	IDENTIF F=16 E 1	F-58 0	A=±0 0 0	fj	
FFOR TARGET: F-132 F-13 F-13 F-13 A-13	### CD TT	x* F=15 0 0	IDENTIF F=16 2 1	F-58 0 a	A-40 0 0 0 0 0	r r e	
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FT COR TARGET: F = 102 F = 103 T = 103	SS AND COLOTT F-102 7 1 C 1 0 C C ST A COPRECT DI NA CERTECT DI ST A COLOTT DI ST	F-15 0 0 0 0 1 0 0 1 0 1 0 1 0 1 0 1 0 1 0	IDENTIF F=16 1 5 0 2 7	F-58 0 27 1 3 7 2 7	A-10 0 0 9 0 4 0	6 6 3 6 2	
FT COR TARGET: F = 102 F = 103 T = 103	SS AND COLOTT F-102 7 1 C 1 0 C C ST A COPRECT DI NA CERTECT DI ST A COLOTT DI ST	F-15 0 0 0 0 1 0 0 1 0 1 0 1 0 1 0 1 0 1 0	IDENTIF F=16 1 5 0 2 7	F-58 0 27 1 3 7 2 7	A-10 0 0 9 0 4 0	6 6 3 6 2	
## O R TYRGET: F - 102 F - 103 T - 103	SS AND COLOTT F-102 7 1 C 1 0 C C ST A COPRECT DI NA CERTECT DI ST A COLOTT DI ST	F-15 0 0 0 0 1 0 0 1 0 1 0 1 0 1 0 1 0 1 0	IDENTIF F=16 1 5 0 2 7	F-58 0 27 1 3 7 2 7	A-10 0 0 9 0 4 0	6 6 3 6 2	
## O R TYRGET: F = 102 F = 105 T = 105 T = 105 T = 100 PROSENT DESTE F = 102 F = 103 T = 103	SS AND COLOTT F-102 7 1 C 1 0 C C ST A COPRECT DI NA CERTECT DI ST A COLOTT DI ST	F-15 0 0 0 0 1 0 0 1 0 1 0 1 0 1 0 1 0 1 0	IDENTIF F=16 1 5 0 2 7	F-5億 0 27 2 5 0 2 7 2 7 2 1 1	A-10 0 0 9 0 4 0	6 6 3 6 2	
FT COR TARGET: F-102 F-103 T-103	SS AND COLYT		IDENTIF F=16 1 5 0 2 7	F-5億 0 27 2 5 0 2 7 2 7 2 1 1	A-10 0 0 9 0 4 0	6 6 3 6 2	
## O R TARGET: F = 102 F = 103 T = 103	SS AND COLOTT F-102 7 1 C 1 0 C C ST A COPRECT DI NA CERTECT DI ST A COLOTT DI ST		IDENTIF F=16 1 5 0 2 7	F-5億 0 27 2 5 0 2 7 2 7 2 1 1	A-10 0 0 9 0 4 0	6 6 3 6 2	

TABLE 11. CLASSIFICATION RESULTS FOR 60° SECTORS FOR THE BEST 17 INVARIANTS FROM THE IMAGE USING THE GAUSSIAN CLASSIFIER WITH β = 99 PERCENT, q = 0.0

TARGET:		**	IDENTIF	TED ASX	*		
	F-102		F-16	F-5E	A-10	F-111	U
F=1.02	9	0	2	O	0	0	
F-15	0	7	1	1	0	0	
F-16	1	5	6	1	0	0	
F-SE	0	1	1.	10	0	0	
A-10	2	0	0	n	1.0	0	
F-111 PROBABILITY FO	0	0	0	(1	0	i 2	
FOR S	8 E C T (DR #	22				
TARGET:		**]	IDENTIF	ED AS*	ř		
	F-102	F-15	F-16	F-5E	A-10	F-111	U
F-102	7	ດ	2	1	2	0	
F-15	4	6	0	0	5	0	
F-16	2	2	3	0	5	0	
F-5E	1	1	0	€ ∋	1	0	
£ = 1 ()	1	0	1	1	S	n	
F-:11	0	ð	0	Ŋ	Ð	0	
PROBABILITY FOR PERCENT UNKNOWN	N 12%	ale SEC	CS DENTIFI	FD AC#4			
FOR S		T	コングランしょとし		A-10	F-111	Ut
FOR S	F=+00			E-CE			
TARGET:	F-102	F-15	F-16	F-5E			
TARGET:	1.0	F-15 0	F-16 0	1	0	0	
TARGET:	10	F-15 0 5	F-16 0 3	i i	0	0 0	
TAPGET: F-102 F-15	1.0	F-15 0 5 0	F-16 0 3 6	i i 2	0 0 0	0 0 0	;
TAPGET: F-102 F-15 F-16	10 2 2	F-15 0 5	F-16 0 3	i i	0	0 0	

TABLE 12. CLASSIFICATION RESULTS FOR THE BEST 18 INVARIANTS (9 FROM IMAGE AND 9 FROM EDGE DETECTED IMAGE USING THE GAUSSIAN CLASSIFIER WITH β = 99 PERCENT AND q = 0.0

TARGET:		**]	DENTIF	ED AS*	ķ		
	F-102	F-15	F-16	F-5E	A-10	F-111	บเ
F-102	7	4	0	í	0	()	
F-15	0	9	1	1	0	0	
F-16	2		7	0	a	0	
F-SE	9	2	0	10	0	0	
A-10	0	0	()	()	1.2	0	
F-111	0	0	0	0	Û	12	
FOR :	BECTO	अह अ	22				
TARGET:		**]	DENTIF	ED AS*	ķ		
	F-102		F-16	F-51		F = 1 1 1	U!
F-102	6	g	3	†	•	11	
F-15	5	3	Á.	1	1	0	
F-16	-3	ŋ	5	1	7	O	
F-5E	2	0	2	7	()	0	
A-10	/*/ 1 -	n	. 1	4	Ċ,	11	
F - 1. 1. 1	0	U	16	0	ti	U	
PROBABILITY FO	JAI 469						
PERCENT UNKNO	SECT	**	IDENTIFI		*		
PERCENT UNKNO		**	IDENTIFI F-16		A-10		
PERCENT UNKNO	SECT	**	IDENTIFI F-16		A-10 0	O	U1
PERCENT UNKNO FO CO IR TARGET:	S ⊞ C T C	**1 F-15	IDENTIFI F-16	F-5E	A-10	0	,
PERCENT UNKNO FO CO IR TARGET: F-102	5-102 10	**1 F-15 0	IDENTIFI F-16	F-56	A-10 0	0 0 0	,
PERCENT UNKNOWN FOR COURT OF TARGET: F-102 F-15	SECTO F-102 10 4	**1 F-15 0 4	IDENTIF: F-16 0 2	F-SE 1 1	A=10 0 0 0 0	0 0 0	
PERCENT UNKNOON FOR CO IR TARGET: F-102 F-15 F-10	SECTO F-102 10 4	**1 F-15 0 4 1	IDENTIFI F-16 0 2 4	F-SE 1 1	A=10 0 0 0	0 0 0	:

from the edge detected image. By comparing these results in Figure 51 to those of Figure 48 obtained for the invariants that were normalized by the radius of gyration, an average improvement is seen in classification performance of the order of 30 percent. Using the 33 invariants obtained from the image without any normalization an optimum transformation matrix H was computed as described in section 5.4 and the 33 dimensional vector of the invariants was projected into a 5 dimensional subspace. The resulting 5 dimensional feature vector was used to classify the five RATSCAT targets using the Gaussian classifier. The results are given in Table 13. From this table, classification performance is seen to be 86 percent for nose aspects and 75 percent for tail aspects, for the 1 meter resolution plan view images.

As seen in the figures and tables tabulating the classification performance for the invariant moment functions, the best results for the kth nearest neighbor tests are of the order of 51 percent to 80 percent correct shown in Figure 51. Comparing these results with those corresponding to classification using the moments (Figure 34), the central moments give on an average 10 percent better results. The same trend favoring the feature vector from the moments over that of the invariants is observed by comparing the results obtained using the feature vector formed by the best 18 invariants from the image and the corresponding feature vector formed using moments. By comparing the results in Table 11 with those of Table 5, the performance is about the same for both features for nose aspects but for all other aspects the feature vector using the central moments gives 10 to 15 percent better results. Thus the tests show that the moments perform much better than the invariants.

c.3 CLASSIFICATION RESULTS USING GENERAL ALGEBRAIC INVARIANT MOMENT FUNCTIONS

In our discussion of invariant moment functions a set of relations among the moments of an image that are invariant with respect to general coordinate transormations were presented in Equations 32 through 38. These functions of the moments are invariant with respect to both rotations and non-uniform scale changes of the coordinates thus they are particularly

suitable for the recognition of inverse SAR images where the cross range scale may not be known accurately. For the classification tests using these general algebraic invariants, only the three general invariants obtained by Hu [7] and repeated in Equations 32 through 35 were used. With these formulas, three general invariants from the image and three from the edge detected image were computed and used to form a six-dimensional feature vector. This feature vector was then used to classify the plan view images obtained from the RATSCAT targets and the results for the 1 meter resolution images are presented in Table 14. As seen in this table the performance of this feature vector is poor (46 to 62 percent correct). The main reason for this poor performance is probably the low dimensionality of the feature vector. In an attempt to improve the performance of the above features without deriving new formulas to compute higher order general invariants, the dimensionality of the feature vector was increased from 6 to 27 by including the squares and by two products of the components of the original o dimensional feature vector. Then as described in Section 5.4, a transformation matrix was obtained to reduce the dimensionality of this new 27 dimensional feature vector down to 5. The Gaussian classifier was then trained and tested using this 5 dimensional feature vector and the results are tabulated in Table 15. The results are still not too good (66 to 71 percent correct), although there is some improvement over the results of Table 14 for all aspects.

v.4 CLASSIFICATION RESULTS USING RANGE AND CROSS RANGE COLLAPSING

As described in Section IV, the target orientation in the image plane is estimated using Equation (39) and the co-ordinate axes are rotated so that the y-axis (range) lines up with the fuselage. The intensity distribution of the image is then averaged along the x and y axes to produce average y-axis and x-axis intensity profiles. A feature vector is then computed using the correlation coefficients for each of these two profiles as shown in Figure 25. Using the 1 meter resolution images, the first 15 correlation coefficients were computed from the x-axis and the y-axis intensity profiles. Using the Mahalanobis distance criterion, the correlation coefficients were

TABLE 13. CLASSIFICATION RESULTS for 45° SECTORS FOR A FEATURE VECTOR OBTAINED BY PROJECTING INTO A 5-DIMENSIONAL SUBSPACE THE 33 ELEMENT INVARIANT FUNCTIONS VECTOR OBTAINED FROM THE IMAGE INTENSITY DISTRIBUTION.

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TABLE 14. CLASSIFICATION RESULTS FOR SIX GENERAL ALGEBRAIC INVARIANTS (3 FROM IMAGE AND 3 FROM THE EDGE DETECTED IMAGE) USING THE GAUSSIAN CLASSIFIER (NO_UNKNOWN)

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F-5E	Ď	 O	 ()	7		0	n
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PROBABILITY F PERCENT UNKNO PULCO PR TAPLODIT:	F = 1.022	D18 :#+ **1 F 15	US DENTIET Total	ED AC*Y F∹SE C	A - 1 () ()	1 115 8	1 K 9
PROBABILITY F MERCENT UNKNO FU CO FR TAPICITE 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 - 1 -	F = 1.022	D48 :#: **1 F 15 0 8	73 DENTIFT T 16 n	UD ACMS F∹SU C n	0 + 1 ft 0 0	1 11: 0 3	* K 9 11
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TABLE 15. CLASSIFICATION RESULTS FOR A FEATURE VECTOR COMPUTED BY INCLUDING THE SQUARES AND BY TWO PRODUCTS OF THE SIX GENERAL INVARIANTS (3 FROM IMAGE AND 3 FROM EDGE DETECTED IMAGE) AND THEN PROJECTING THE RESULTING 27 DIMENSIONAL VECTORS ONTO A 5-DIMENSIONAL FEATURE VECTOR

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ranked and the resulting ordering for the best 24 was found to be:

$$\begin{array}{l} R_y(1),\ R_y(2),\ R_x(1),\ R_x(2),\ R_y(3),\ R_x(3),\\ R_x(4),\ R_x(5),\ R_y(14),\ R_y(13),\ R_y(7),\ R_y(12),\\ R_y(4),\ R_y(9),\ R_y(8),\ R_x(15),\ R_y(14),\ R_x(13),\\ R_x(10),\ R_x(8),\ R_x(6),\ R_x(12),\ R_x(7),\ R_x(9) \end{array}$$

where y is the co-ordinate along the fuselage and x is the co-ordinate parallel to the wings. The classification performance of a feature vector using all 30 correlation coefficients was tested using the kth nearest neighbor rule and the results are presented in Figure 52. The classification performance for the best 24 correlation coefficients and the best 12 was also tested and the results of the order of 70 to 88 percent correct. These are comparable to many of the results obtained using the central moments but are about 10 percent below the best performance results obtained using the best 24 moments (best 12 from image and best 12 from edge detected image).

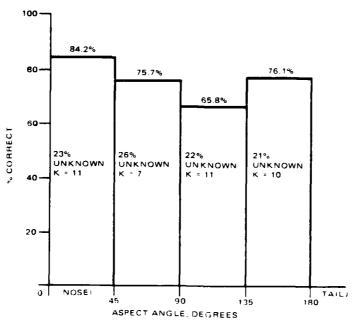


Figure 52. Classification performance using Kth nearest neighbor for 30 correlation coefficients from collapsed images.

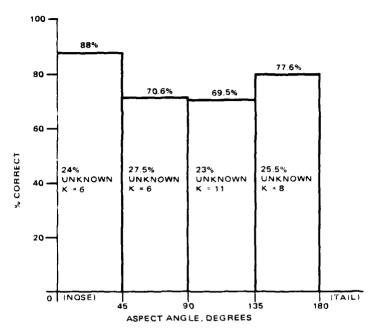


Figure 53. Classification performance using Kth nearest neighbor with best 24 correlation coefficients from collapsed images.

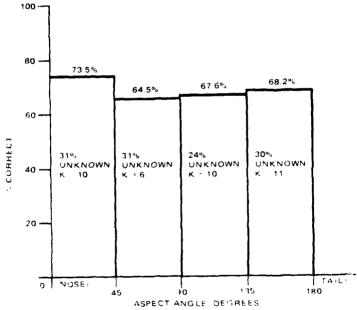


Figure 54 Classification performance using Kith nearest heighbor ,virb best 12 correlation coefficients from collapsed mages.

Section VII CONCLUSIONS

Summarizing the results presented in Section VI, it can be observed that in general the best performance results were obtained using feature vectors constructed from the moments of the image and the edge detected image. For the plan view images of the six aircraft targets (F-102, YF-10, F-15, F-5E, A-10 and F-111, the performance results for a 24-element feature vector constructed using 12 best moments from the image and 12 best moments from the edge detected image are in the range of 84 to 96 percent as seen in Figure 39 which is repeated here in Figure 55. Using the dimensionality reduction procedure described in Subsection 5.4, a 5-element feature

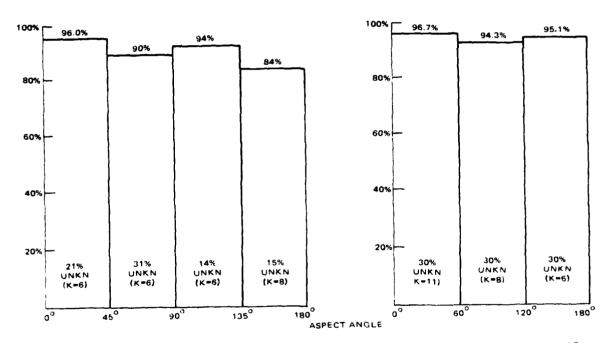


Figure 55. Kth nearest neighbor classification results (1m resolution plan views 6 classes) for 24 moments (12 best from image and 12 best from edge detected image) normalized by their standard deviations with provision for unknown.

element moment vector obtained from the image intensity. The classification performance of this feature vector was tested using the 1-meter resolution plan view images of the five RATSCAT targets and the results are shown in Table 16. In general as shown in the results in Section VI, the best classification performance obtained using the invariant functions was about 10 to 15 percent below the best results obtained using the moments. In general the tests showed that moments from the image did better than moments from the edge detected image, but feature vectors using combinations between the two sets had a high classification performance.

The observed classification results with feature vectors obtained by averaging the image intensity along two orthogonal axes (parallel and normal to the fuselage) and then computing the autocorrelation function was 70 to 88 percent which is 10 percent less than the results obtained using the central moments. Since the computational requirements for the construction of this feature vector are considerably smaller than the computational requirements for obtaining the geometric moments and invariants, this feature vector may prove useful in cases where classification accuracies of the order of 70 to 88 percent are sufficient.

In general, the results from the performance tests conducted during this study are encouraging and suggest that the geometric moments may prove to be important features for the application of statistical pattern recognition techniques to the identification of aircraft targets from radar images. However, because a limited set of imaging geometries (only plan views corresponding to projections on the horizontal plane for zero roll and profiles corresponding to projections on the vertical plane) was used in this study the performance of the techniques as a function of roll and pitch angles was not investigated. Furthermore, it was assumed that the imaging plane was I nown. The determination of the target view presented by the image is an important problem that needs to be solved before the above recognition techniques can be used in a completely unsupervised mode. This problem will be addressed in a follow- in work in this area.

TABLE 16. CLASSIFICATION RESULTS FOR 45° SECTORS FOR A FEATURE VECTOR OBTAINED BY PROJECTING INTO A 5-DIMENSIONAL SUBSPACE THE 33 ELEMENT MOMENT VECTOR OBTAINED FROM THE IMAGE INTENSITY DISTRIBUTION.

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APPENDIX A ORTHOGONAL MOMENT INVARIANTS

by Jon P Belleville

A.1. INTRODUCTION

One of the more difficult problems in the design of a system to recognize objects from their images is the selection of a set of appropriate numerical features to be extracted from the shape of interest for purposes of classification. Such a set of features that has been successfully applied to the recognition of optical pictures is a set of invariant moment functions [1], [2], [3], which are discussed in this appendix.

A.2. MOMENTS

The two-dimensional (p+q)th order moments of an intensity distribution function f(x,y) are defined by

$$n_{pq} = \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} x^p y^q f(x, y) dxdy$$
, p, q=0,1,2,...

It is assumed that f(x,y) is a piecewise continuous and therefore bounded function, and that it can have nonzero values only in the finite part of the xv plane; then moments of all orders exist and the following uniqueness theorem can be proved. Theorem: the double moment sequence $\{n_{pq}\}$ is uniquely determined by f(x,y); and conversely, f(x,y) is uniquely determined by $\{n_{pq}\}$. It should be noted that the finiteness assumption is important: otherwise the theorem might not hold. It can be shown that this theorem holds in the discrete case as well. In the discrete case the $(p \neq q)$ th order moments of an NxM rectangular image field $f(x_i,y_i)$ are defined by

$$n_{pq} = \sum_{i=1}^{N} \sum_{j=1}^{M} f(x_i, y_j)(x_i)^p (y_j)^q$$

where (x_i,y_j) are the coordinates of the (i,j) cell and $f(x_i,y_i)$ is the image intensity function.

The central moments for the same rectangular image field are defined by

$$\mathbf{m}_{pq} = \sum_{i=1}^{N} \sum_{j=1}^{M} f(\mathbf{x}_i, \mathbf{y}_j) (\mathbf{x}_i - \overline{\mathbf{x}})^p (\mathbf{y}_j - \overline{\mathbf{y}})^q$$
 (A-1)

where:

$$\overline{\mathbf{x}} = \frac{\mathbf{n}_{10}}{\mathbf{n}_{00}}$$

and

$$\overline{y} = \frac{n_{01}}{n_{00}}$$

The normalized central moments are defined by

$$\mu_{pq} = \frac{m_{pq}}{m_{00}} \tag{A-2}$$

and are invariant with respect to changes in the image intensity. In what follows, by moments we refer to these normalized central moments.

To see that the normalized moments are invariant with respect to changes in image intensity let $g(x,y) = c \cdot f(x,v)$, where c is some non-zero constant. Then letting the primed moments be those computed from g(x,v):

$$\mathbf{m}_{pq}' = \sum_{i=1}^{N} \sum_{j=1}^{M} \mathbf{g}(\mathbf{x}_i, \mathbf{y}_j) (\mathbf{x}_i - \overline{\mathbf{x}})^p (\mathbf{y}_j - \overline{\mathbf{y}})^q$$

which can be rewritten as:

$$|\mathbf{m}_{pq}^{'}| = (\sum_{i=1}^{N} |\sum_{j=1}^{M} |f(\mathbf{x}_{i}, \mathbf{y}_{i})(\mathbf{x}_{i} - \overline{\mathbf{x}})^{p} (\mathbf{y}_{j} - \overline{\mathbf{y}})^{q}|,$$

Thus $m_{pq} = c \cdot m_{pq}$, and from Equation (2-2)

$$\mu_{pq}' = \frac{m_{pq}'}{n_{100}} = \frac{cm_{pq}}{cm_{00}} = \mu_{pq},$$

which demonstrates that the normalized moments are invariant with respect to uniform changes in intensity. Also that the central moments and normalized central moments are invariant with respect to translations, as seen by examining Equation (A-1).

A.3 ALGEBRAIC FORMS AND INVARIANTS

A.3.1 Definitions

The set of moments developed above are invariant under translations and image intensity changes. However, since images may have any orientation in the image plane a set of features which remains constant under rotation of the image is desirable. Such a set has been developed by Hu[1], and is presented below.

First, some definitions and results will be stated. The following homogeneous polynomial of two variables u and v,

$$i = a_{po}u^{p} + {p \choose 1} - a_{p-1, 1}u^{p-1}v + {p \choose 2} - a_{p-2, 2}u^{p-2}v^{2}$$

$$- \dots - {p \choose p-1} - a_{1, p-1}uv^{p-1} - a_{op}v^{p}, \qquad (A-3)$$

is called a binary algebraic form, or simply a binary form, of order p. Using a notation, introduced by Cavley, the above form may be written [1] as

$$f = (a_{po}; a_{p-1,1}; \dots ; a_{op})(u,v)^{p}$$
.

A homogeneous polynomial I(a) of the coefficients a_{po} ..., a_{op} is an algebraic invariant of weight w, [1] if

$$I(a_{po}, \ldots, a_{op}) = \Delta^{w} I(a_{po}, \ldots, a_{op}),$$

where a po, ..., a op are the new coefficients obtained from substituting the following general linear transformation into the original form (A-3).

$$\begin{bmatrix} \mathbf{u} \\ \mathbf{v} \end{bmatrix} = \begin{bmatrix} \alpha & \mathbf{Y} \\ \beta & \delta \end{bmatrix} \begin{bmatrix} \mathbf{u}^{\top} \\ \mathbf{v}^{\top} \end{bmatrix}, \quad \Delta = \begin{bmatrix} \alpha & \mathbf{Y} \\ \beta & \delta \end{bmatrix} \neq 0. \tag{A-4}$$

If $\mathbf{w} = 0$, the invariant is called an absolute invariant; if $\mathbf{w} \neq 0$ it is called a relative invariant.

In the study of invariants, it is helpful to introduce another pair of variables x and v, whose transformation with respect to (A=4) is as follows:

$$\begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} \alpha & \beta \\ \gamma & \delta \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$
 (A-5)

The transformation (A-5) is referred to as a cogredient transformation, and (A-4) is referred to as a contragredient transformation. The variables x, y are referred to as covariant variables, and u, y as contravariant variables. They satisfy the following invariant relation

$$u\mathbf{x} + v\mathbf{y} + u' - \mathbf{x}' + \mathbf{v}' - \mathbf{v}'$$
.

The Fundamental Theorem of Moment Invariants obtained by Hu [1] stated as follows:

If the algebraic form of order p has an algebraic invariant.

$$\operatorname{Ita}_{00}^{\prime}, \ldots, \operatorname{a}_{0p}^{\prime} = \Delta^{W} \operatorname{Ita}_{p0}, \ldots, \operatorname{a}_{0p}^{\prime}$$
,

then the moments of order p have the same invariant but $\qquad \qquad \text{with the additional factor} \quad J \quad ,$

$$I(\mu_{po}, \ldots, \mu_{op}) = |J| \Delta^{w} I(\mu_{po}, \ldots, \mu_{op})$$
.

where |J| is the absolute value of the Jacobian of the transformation (A-5).

A. 3. 2 Orthogonal Moment Invariants

In what follows Hu's [1] derivation of the orthogonal moment invariant functions is summarized. Two coordinate systems (x,y) and (x^i,y^i) rotated relative to each other by an angle θ are used. The two systems are related by the orthogonal matrix transformation

$$\begin{bmatrix} x' \\ y' \end{bmatrix} = \begin{bmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix}$$

whose Jacobian is

$$|J| = \begin{vmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{vmatrix} = \div 1$$

Since |J|=1, the moment invariants are exactly the same as the algebraic invariants. If the moments are treated as the coefficients of an algebraic form

$$(\mu_{po}, \ldots, \mu_{op})(\mathbf{u}, \mathbf{v})^p$$
 (A-6)

under the contragredient transformation,

$$\begin{bmatrix} \mathbf{u} \\ \mathbf{v} \end{bmatrix} = \begin{bmatrix} \cos \theta - \sin \theta \\ \sin \theta & \cos \theta \end{bmatrix} \begin{bmatrix} \mathbf{u} \\ \mathbf{v} \end{bmatrix}.$$

then the moment invariants can be derived by the following algebraic method. If both u_i v_i are subjected to the transformation:

$$\begin{bmatrix} \mathbf{U} \\ \mathbf{V} \end{bmatrix} = \frac{1}{2} \begin{bmatrix} 1 & \mathbf{i} \\ 1 & -\mathbf{i} \end{bmatrix} \begin{bmatrix} \mathbf{u} \\ \mathbf{v} \end{bmatrix} , \begin{bmatrix} \mathbf{U}' \\ \mathbf{V}' \end{bmatrix} = \frac{1}{2} \begin{bmatrix} 1 & \mathbf{i} \\ 1 & -\mathbf{i} \end{bmatrix} \begin{bmatrix} \mathbf{u}' \\ \mathbf{v}' \end{bmatrix}$$
 (A-7)

then the orthogonal transformation is converted into the following simple relations,

$$U' = Ue^{-i\theta}$$
, $V' = Ve^{i\theta}$ (A-8)

Substituting (A-7) and (A-8) into (A-6), yields the following identities:

$$(I_{po}, \dots, I_{op})(U, V)^p \equiv (\mu_{po}, \dots, \mu_{op})(u, v)^p$$

$$\equiv (\mu_{po}, \dots, \mu_{op})(u^*, v^*)^p$$

$$\equiv (I_{po}, \dots, I_{op})(Ue^{-i\theta}, Ve^{i\theta})^p, \qquad (A-9)$$

where I_{po} , ... I_{op} and I_{po} , ... I_{op} are the corresponding coefficients after the substitutions. From the identity in U and V, the coefficients of the various monomials $U^{p-r}V^{r}$ on the two sides must be the same. Therefore,

$$I_{po} = e^{ip\theta}I_{po}$$
: $I_{p-1,1} = e^{i(p-2)}$ $I_{p-1,1}$: $I_{p-1,1} = e^{-ip\theta}I_{op}$.

In general:

$$I_{p-r, r}^{-} = e^{i \cdot (p-2r)\theta} I_{p-r, r}$$
 (A-10)

where:

$$o \le r \le p$$
.

From the identity of the first two expressions in (A-9), it follows that $I_{p-r,r}$ is the complex conjugate of I_{r} , p-r.

A general expression for I p-r, r in terms of the moments may be written as follows:

$$I_{p-r,r} = (\mu_{po}; \mu_{p-2,2}; \cdots \mu_{p-2r,2r}) (1,1)^{r};$$

$$(\mu_{p-1,1}; \mu_{p-3,3}; \cdots \mu_{p-2r-1,2r+1}) (1,1)^{r}; \dots :$$

$$(\mu_{pr,p-2r}; \mu_{2r-2,p-2r+2}; \cdots ; \mu_{op}) (1,1)^{r} (1,-i)^{p-2r},$$

where $i = \sqrt{-1}$ and p-2r > 0.

and

$$I_{p/2, p/2} = \mu_{po} + (P_1^{/2}) \mu_{p-2, 2} + (P_2^{/2}) \mu_{p-4, 4} + \dots + \mu_{op},$$
 $p = \text{even}.$

It may be noted that these (p+1) I's form a linearly independent set of linear transformations of the μ 's, and vice versa. This can be rewritten in summation notation as follows:

$$I_{p-r,r} = \sum_{r=0}^{p-2r} \left[(-i)^n \binom{p-2r}{n} \left\{ \sum_{k=0}^r \binom{r}{k} \mu_{p-2k-n}, 2k-n \right\} \right]$$
 (A-11)

Separating into real and complex parts we get

$$I_{p-r,r} = \sum_{\substack{n=0,2,4\\n=\text{even}}}^{p-2r} \left[(-1)^{n/2} {p-2r \choose n} \left\{ \sum_{k=0}^{r} {r \choose k} \mu_{p-2k-n, 2k+n} \right\} \right]$$

$$+ (-i) \sum_{\substack{n=1,3,5\\n=\text{odd}}}^{p-2r} \left[(-1)^{(n-1)/2} {p-2r \choose n} \left\{ \sum_{k=0}^{r} {r \choose k} \mu_{p-2k-n, 2k+n} \right\} \right]$$
(A-12)

If $\binom{0}{0}^{\frac{\Delta}{2}} 1$ and empty sums equal zero then equations (A-11) and (A-12) are valid for p-2r ≥ 0 .

Referring back to equation (A-10) it can be seen that if the I's are combined in such a manner as to eliminate the θ dependence, invariants are formed with respect to rotation. For example for p=5 and r=2 $I_{32} \cdot I_{23}$ is such an invariant. This can be seen by simply applying equation (A-10).

$$I_{32} \cdot I_{23} = e^{i(5-4)\theta} I_{32} \cdot e^{i(5-\alpha)\theta} I_{23}$$
$$= I_{32} \cdot I_{23}$$

Furthermore, since the moments are invariant with respect to translation and changes in intensity the invariants formed from the I's also have these properties.

A complete system of orthogonal moment invariants developed by limits: listed below for reference.

For the second-order moments the two independent invariants are

$$I_{11}$$
, I_{20} , I_{02}

For the third-order moments the three independent invariants are

$$I_{30}I_{03}, I_{21}I_{12}$$
, (A-13)

$$(I_{30}I_{12}^3 + I_{03}I_{21}^3)$$

A fourth one depending also on the third-order moments is

$$\frac{1}{i} \cdot (I_{30}I_{12}^3 - I_{03}I_{21}^3) . \tag{A-14}$$

The first three given by (A-13) are absolute invariants for both proper and improper rotations but the last one given by (A-14) is invariant only under proper* rotation, and changes sign under improper* rotation. This will be called a skew invariant. Therefore it is useful for distinguishing "mirror images." One more independent absolute invariant may be formed from second and third order moments as follows:

$$(I_{20}I_{12}^2 + I_{02}I_{21}^2)$$

For pth order moments, $p \ge 4$ we have [p/2] (the integral part of p/2) invariants

If p is even, then

$$I_{p/2, p/2}$$
 is also an invariant.

A simple rotation of coordinates by $\boldsymbol{\theta}$ is defined as a proper rotation, while a reflection about one of the coordinate axes followed by a rotation is defined as an improper rotation.

Combining the pth order moments with the (p-2) order moments a set of $\lfloor p/2-1 \rfloor$ invariants are obtained given by

$$(I_{p-1,1} I_{0,p-2} \stackrel{+}{=} I_{1,p-1} I_{p-2,0})$$
,
 $(I_{p-2,2} I_{1,p-3} \stackrel{+}{=} I_{2,p-2} I_{p-3,1})$,...,
 $(I_{p-r,r} I_{r-1,p-r+1} \stackrel{+}{=} I_{r,p-r} I_{p-(r+1),r-1})$, $p-2r > 0$, $r \ge 1$. (A-15)

Also by combining the pth order moments with second-order moments, two additional invariants are obtained

$$\left(I^{2}_{[p/2],[p/2]+1}I_{20}+I^{2}_{[p/2]+1,[p/2]}I_{02}\right),$$

if p is odd, and

$$(I_{p/2-1}, p/2-1, I_{20} + I_{p/2+1}, p/2-1, I_{02}),$$
 (A-10)

if p is even. Therefore there are always $(p\pm 1)$ independent absolute invariants.

The invariants thus far developed are invariant with respect to translation, changes in intensity, rotation and reflection. However, they are not invariant with respect to scale changes. To adjust for scale changes each invariant is divided by a factor of r^k , as done by Dudani (2). The scaling factor r is defined as

$$r = \sqrt{(\mu_{20} - \mu_{02})}$$
.

which is itself an invariant (namely l_{11}^{-1} also known as the radius of gyration of the figure. The value of k depends upon the order of the Ps used to form the invariants.

If r > 4. Equation (A-17) is the same as the one given by (A-18); instead of (A-18) is $\frac{1}{4} \sqrt{\frac{3}{2}} \sqrt{\frac{3}{4}} \sqrt{\frac{3}{2}} \sqrt{\frac{3}{4}} \sqrt{\frac{3}{2}}$

The values of k are as follows:

$$k = 2p$$
 for invariants of the form:

$$k = p$$
 for invariants of the form:

$$I_{p/2,p/2}$$

$$k = p+q-n(r+s)$$
 for invariants of the form: $I = I^n + I = \frac{n}{r}$

$$I_{pq}I_{rs}^{n} + I_{qp}I_{sr}^{n}$$

This completes the development of orthogonal moment invariants. Hu [1] also developed a set of moment functions which is invariant under general nonsingular algebraic transformations. This set is briefly discussed in Section 3 of this report.

A. 4 PROGRAMMING CONSIDERATIONS

Basically, the algorithm to compute the invariants will be a straight line program; the I's are computed first and then combined to form invariants. However, there are a couple of points where the program may be optimized.

First, not all the I's need to be computed since $I_{r,p-r}$ is the complex conjugate of $I_{p-r, r}$. Second, the calculation of $I_{p-r, r}$ as per equation (A-11) or (A-12) may be optimized by the use of a recursive procedure for computing $\binom{m}{n}$.

For instance, if $\binom{m}{n}$ is known for some value of m and n and we wish to compute $\binom{m}{n-1}$ (assume that $0 \le n \le m-1$). By expanding $\binom{m}{n}$ and $\binom{m}{n+1}$ then

$$\binom{m}{n} = \frac{m!}{(m-n)! n!}$$

$$\binom{m}{n+1} = \frac{m!}{(m-(n+1))! (n+1)!}$$

$$\binom{m}{n+1} = \frac{(m-n) m!}{(n+1) (m-n)! ! n!} = \frac{(m-n)}{(n+1)} \cdot \binom{m}{n}$$

Thus, the recursion relation is

$$\binom{m}{n+1} = \frac{(m-n)}{(n+1)} \cdot \binom{m}{n}$$

A. 4. 1 Program Verification

A program to compute the orthogonal moment invariants up to order seven using the results contained in this appendix is presented in a summary flow chart in Figure B-4 in Appendix B.

To check that the invariants computed are actually invariant with respect to rotation, a simple figure was used and the invariants calculated.

The figure used is shown in Figure A-1. The invariants that were calculated are listed in Table A-1. Record I contains the invariants for the original image and Record 2 are those for the rotated image. The two records, are identical. Because the test figure is a straight line which is originally parallel to the x-axis all moments μ_{pq} with q>0 are zero. This results in many of the invariants reducing to the same numerical value and explains this occurrence in Table A-1.

The invariants in Table A-1 are numbered as follows. (The normalization by $\hat{I}_{1,1}$ is not shown)

$$\rho_1 = I_{20} I_{02}$$

 ρ_2 through ρ_{17} have the form:

$$I_{p+n+n}I_{n+p+n}$$
.

where $p=1,\,4,\,\ldots,\,7,$ and $n>0,\,1,\,\ldots$ [p/2], and [x] indicates the integral part of x. For the case when p is even $I_{p/2,\,p/2}$ is used instead of $I_{p/2,\,p/2}^2$.

The remaining invariants were arbitrarily labeled as follows

$$\rho_{1s} = \frac{1}{30} I_{12}^{3} + I_{03} I_{21}^{3}$$

$$\rho_{11} = -i\left(z_{30} z_{12}^3 - z_{03} z_{21}^3\right)_1$$

$$\rho_{2,1} = \mathbb{I}_{20} \, \mathbb{I}_{12}^{(2)} + \mathbb{I}_{02} \, \mathbb{I}_{21}^{(2)}$$

$$\rho_{21} = I_{31} I_{02} + I_{13} I_{20}$$

$$\rho_{22} = I_{41} I_{03} + I_{14} I_{30}$$

$$\rho_{23} = I_{32} I_{12} + I_{23} I_{21}$$

$$\rho_{24} = I_{51} I_{04} + I_{15} I_{40}$$

$$\rho_{25} = I_{42} I_{13} + I_{24} I_{31}$$

$$\rho_{26} = I_{71} I_{05} + I_{17} I_{50}$$

$$P_{27} = I_{52} I_{14} + I_{25} I_{41}$$

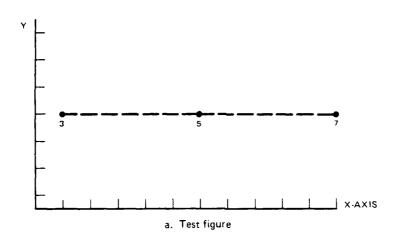
$$\rho_{28} = I_{43} I_{23} + I_{34} I_{32}$$

$$\rho_{29} = I_{04}I_{20}^2 + I_{40}I_{02}^2$$

$$\rho_{30} = I_{23}^2 I_{20} + I_{32}^2 I_{02}$$

$$\rho_{31} = I_{24}I_{20} + I_{42}I_{02}$$

$$P_{32} = I_{34}^2 I_{20} + I_{43}^2 I_{02}$$



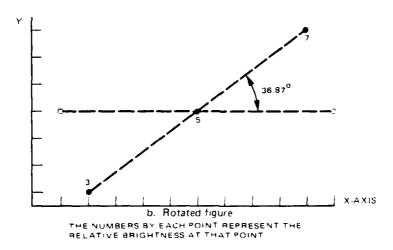


Figure A-1.

TABLE A-1. INVARIANTS BEFORE AND AFTER ROTATION

	Record 1 (Original)	Record 2 (Rotated)
n	Ρη	Ρη
1	1.00	1,00
2	0.248	0.248
3	0.248	0.248
4	3.37	3.37
5	3.37	3.37
6	1.84	1.84
7	4.09	4.09
8	4.09	4.09
9	4.09	4.09
10	18.1	18.1
11	18.1	18.1
12	18.1	18.1
13	4.25	4.25
14	37.1	37.1
15	37.1	37.1
16	37.1	37.1
17	37.1	37.1
18	0.123	0.123
19	0.0	$2.29 \times 10^{-8} = 0.0$
. 20	0.495	0,495
21	3.67	3.57
22	2.01	2.01
23	2.01	2.01
24	15.6	15.0
25	15.6	15.6
26	24.6	24.6
27	24.6	24.0
28	24.6	24,5
2.4	3.07	3.07
30	8.18	8.18
31	8.51	8,51
3.2	74.2	74.3

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- 1. Hu, M.K., "Visual Pattern Recognition by Moment Invariants," IRE Trans. on Information Theory, pp. 179-187, 1962
- Dudani, S.A., Breeding, K.J., and R.B. McGhee, "Aircraft Identification by Moment Invariants," IEEE Trans. on Computers, Vol. C-20, pp. 39-45, 1977.
- Gonzalez, R.C., and B.M.E. Moret, "A Recursive Procedure for Updating Quadratic Forms and its Application to Feature Selection." ONR-CR215-228-3F, 1978.

APPENDIX B DESCRIPTION OF COMPUTER PROGRAMS USED FOR THE CLASSIFICATION TESTS

by Charles P. Dolan

B. 1 INTRODUCTION

In designing the software for this study, an effort was made to modularize the routines and use a uniform input output format for all the programs. Thus the same training and classification routines could be employed for all feature vectors used in the study. Also commonality among the routines for computing the moments and invariants allowed efficient program design. The flowcharts and block diagrams in this appendix summarize the computer programs needed for the computation of the moments and invariants, the normalization of the moments by their standard deviation over all targets and aspects, the nearest neighbor and Gaussian classifiers and the feature selection.

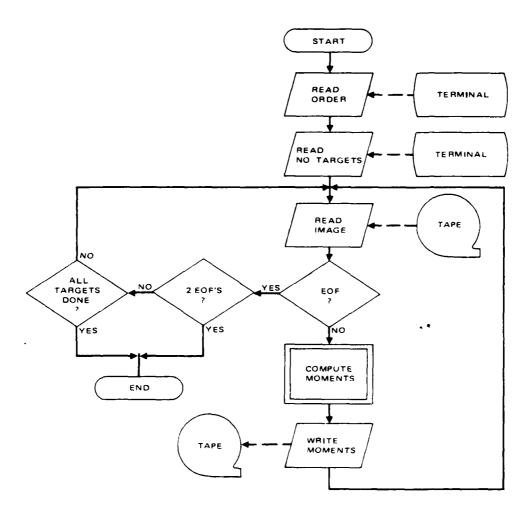


Figure B-1. Flow chart of program for computation of moments 1

** - 1

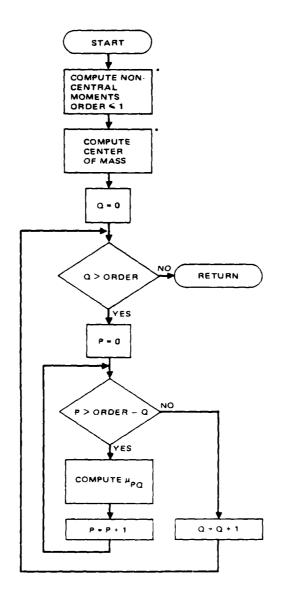


Figure B-2. Flow chart for computations of moments 2

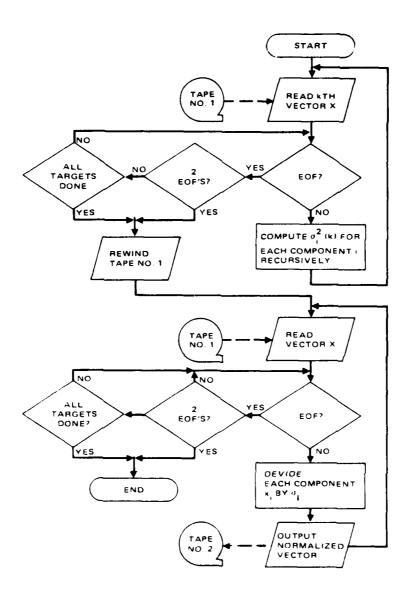


Figure B-3. Computer program for normalization of moments by their standard deviations

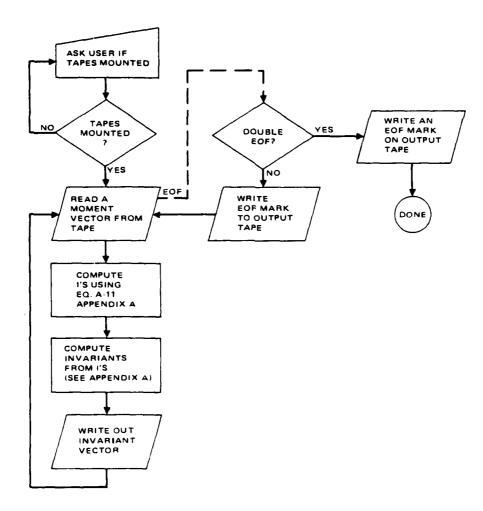


Figure B-4. Summary flow chart of computer program for computation orthogonal moment invariants

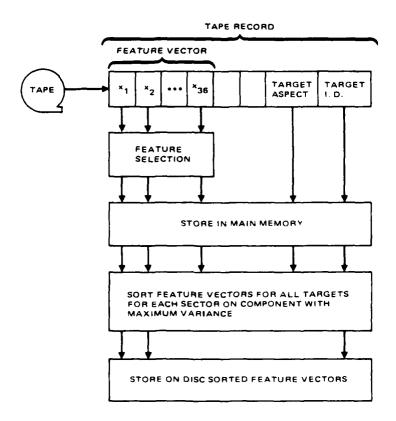
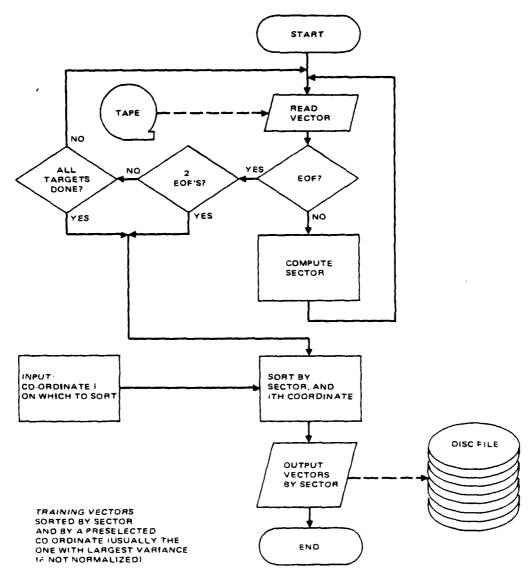


Figure 8-5. Training kth nearest neighbor classifier



3

Figure 8-6. Computer program for the formation of training files for nearest neighbor classifier

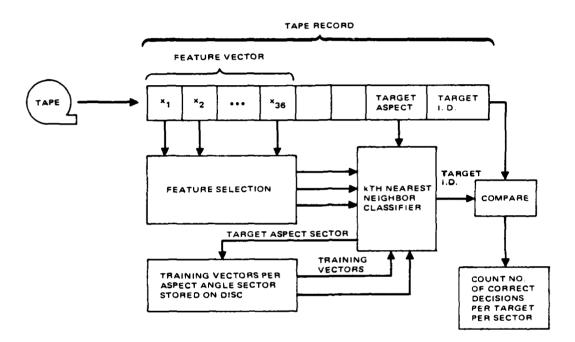


Figure 3-7. Classification tests using kth nearest neighbor classifier

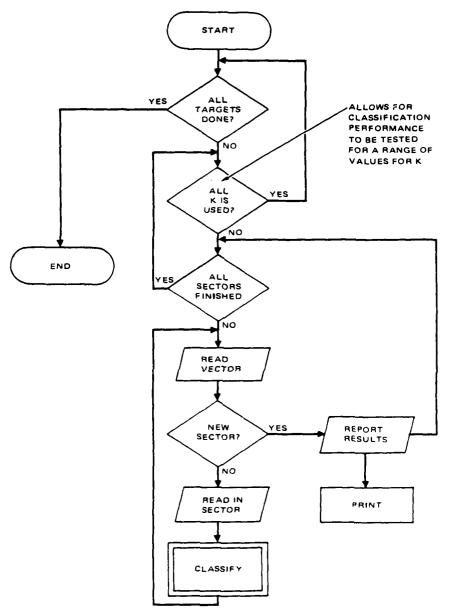


Figure B-8. Flow chart of kth nearest neighbor classifier which performs classification tests over multiple targets aspect angle sectors and values for k

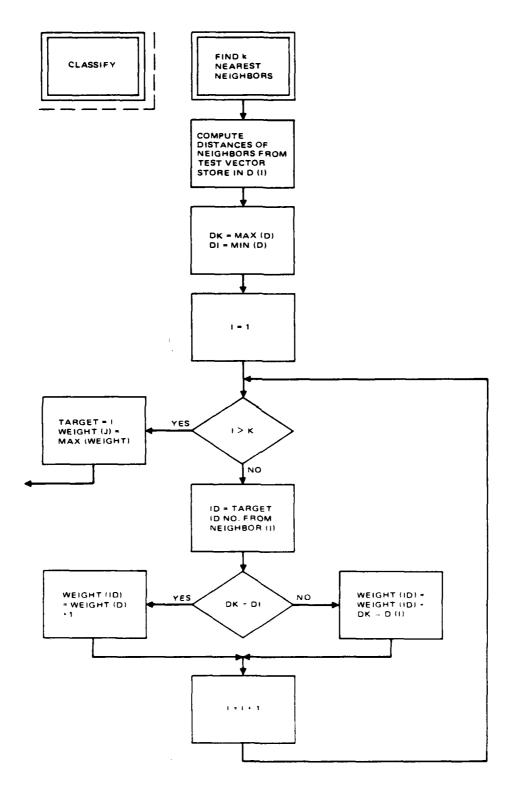


Figure 8-9. Flow chart of procedure classify in k-nearest neighbor classifier.

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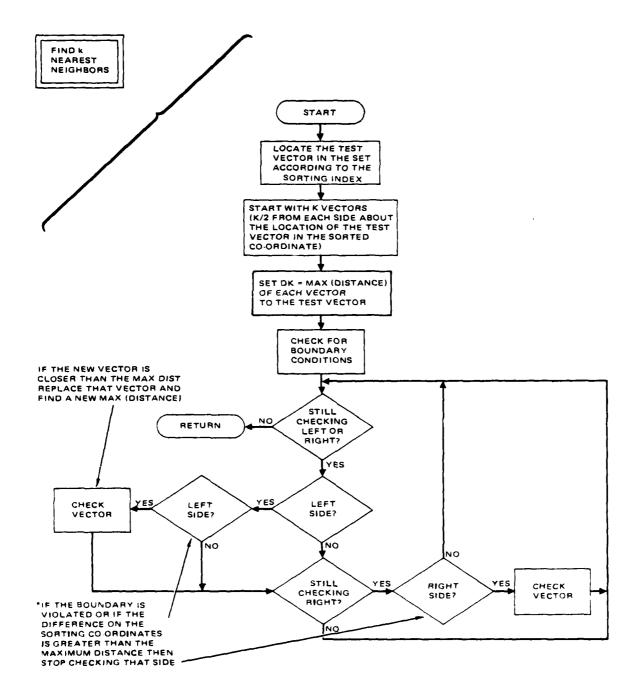


Figure 8-10. Flow chart for k nearest neighbor classifier (continued)

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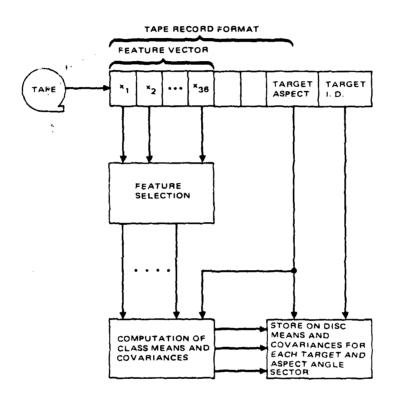


Figure B-11. Training Gaussian classifier

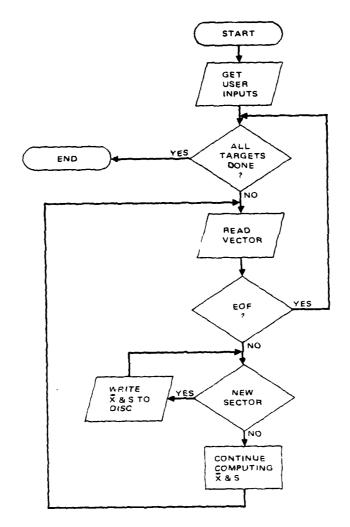


Figure 8-12. Computer program for preparation of means and covariance matrices used by Gaussian classifier

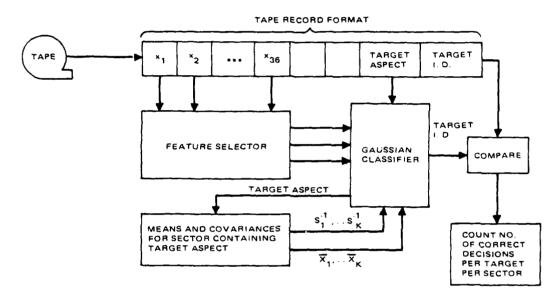


Figure B-13. Classification tests using the Gaussian classifier

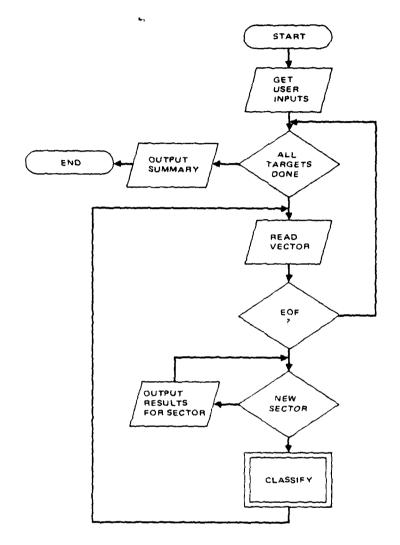
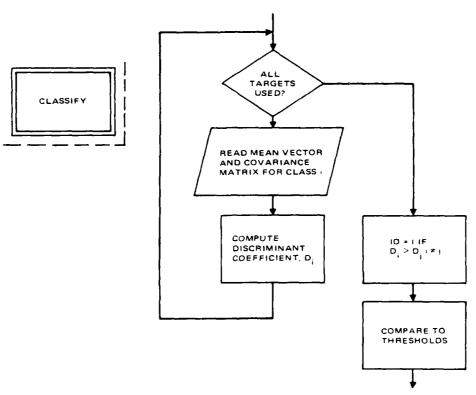


Figure B-14. Flow chart of computer program for the Gaussian classifier



*THE FIRST THRESHOLD IS FOR HOTELLING'S τ^2 STATISTIC COMPUTED FOR CLASS C, AND COMPARED TO A TABLE LOOK UP FROM THE F DISTRIBUTION THE SECOND THRESHOLD IS ON THE A POSTERIORI PROBABILITY P(C,/X) WHERE X IS THE TEST VECTOR

Figure B-15. Flow chart of procedure classify of Gaussian classifier

Section 1

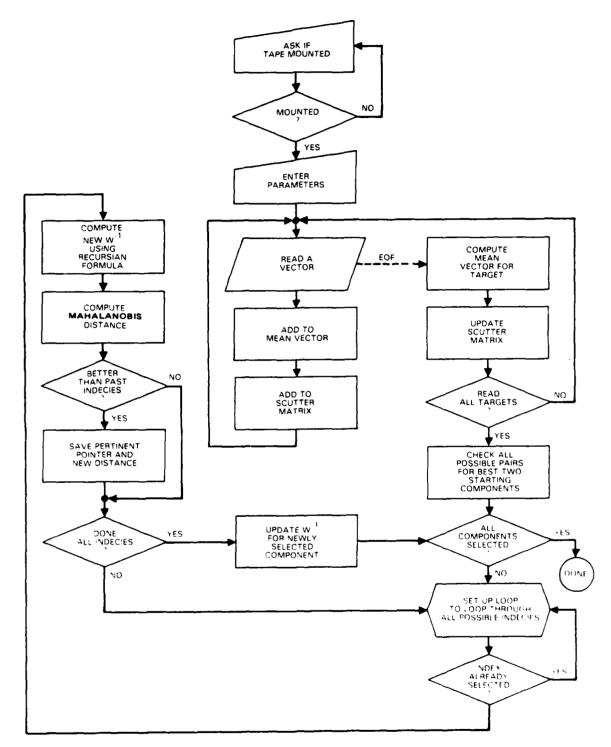


Figure B-16. Feature ranking using the mahalanobis distance criterion.

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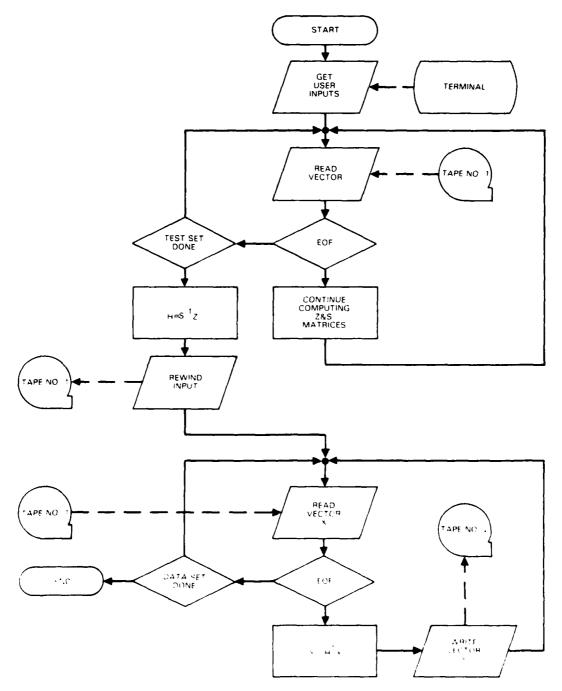


Figure 8-17. Feature vector dimensionality reduction by projection into a lower dimensional subspace.

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